#### EDWARDS DAWN N

Check this box

if no longer

subject to

Form 4 July 21, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per 0.5 response...

**OMB APPROVAL** 

3235-0287

January 31,

OMB

Number:

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * EDWARDS DAWN N				Symbol		d Ticker or Trading  UP INC [B]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	(Last)	(First) (	(Middle)	3. Date of Earliest Transaction			(Check an applicable)			
BARNES GROUP INC., 123 MAIN STREET				(Month/I 07/19/2	Day/Year) 2017		Director 10% Owner X Officer (give title Other (specify below) SVP, Human Resources			
(Street)					endment, D nth/Day/Yea	oate Original ar)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
BRISTOL, CT 06010						Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Benefic						
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

1.Title of	2. Transaction Date		3. 4. Securities Acquir		•	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	1 ,			Securities	Ownership	Indirect	
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)				Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I)	Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	07/19/2017		A <u>(1)</u>	4,956	A	\$0	60,558.93 (2)	D	
Common Stock	07/19/2017		F	2,426	D	\$ 61.07	58,132.93 (2)	D	
Common Stock	07/19/2017		A(3)	2,922	A	\$ 0	61,054.93 (2)	D	
Common Stock	07/19/2017		F	1,431	D	\$ 61.07	59,623.93 (2)	D	
Common Stock							14,936.82	I	by Company's

401(k) Plan

#### Edgar Filing: EDWARDS DAWN N - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerci	sable and	7. Title a	ınd	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration Da	te	Amount	of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Y	(ear)	Underlyi	ng	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	s	(Instr. 5)
	Derivative				Securities			(Instr. 3	and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									mount	
						Date	Expiration Date	or		
						Exercisable			umber	
								of		
				Code V	$^{\prime}$ (A) (D)			St	nares	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

EDWARDS DAWN N BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06010

SVP, Human Resources

## **Signatures**

William K. Piotrowski, pursuant to a Power of Attorney

07/21/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person has acquired an aggregate of 4956 shares pursuant to Performance Share Award granted 2/12/2014.
- (2) Includes balances of 766 Restricted Stock Units granted 2/12/2014, 466 granted 2/12/2014, 1599 granted 2/11/2015, 2600 granted 2/10/2016, and 1900 granted 2/8/2017, that are subject to forfeiture if certain events occur.
- (3) Reporting Person has acquired an aggregate of 2922 shares pursuant to Performance Share Award granted 2/12/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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**SEC 1474** 

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