Edgar Filing: EDWARDS DAWN N - Form 3

EDWARDS DAWN N

Form 3

August 03, 2009

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0104

0.5

G ,

Number: January 31, 2005

OMB APPROVAL

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BARNES GROUP INC [B] EDWARDS DAWN N (Month/Day/Year) 08/03/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) BARNES GROUP INC., 123 (Check all applicable) MAIN STREET (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting SVP, Human Resources Person BRISTOL, CTÂ 06010 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 5,021.1678 (1) Common Stock 7,142 I by Company's 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

Edgar Filing: EDWARDS DAWN N - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stk Option-Right to Buy	(2)	02/14/2017	Common Stock	5,700	\$ 22.335	D	Â
Employee Stk Option-Right to Buy	(3)	02/13/2018	Common Stock	6,150	\$ 26.38	D	Â
Employee Stk Option-Right to Buy	(4)	02/10/2019	Common Stock	14,200	\$ 11.45	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
EDWARDS DAWN N					
BARNES GROUP INC.	â	â	SVP, Human Resources	â	
123 MAIN STREET	A	А	A SVP, Human Resources	A	
BRISTOL, CT 06010					

Signatures

Dawn N.
Edwards

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a balance of 766 Restricted Stock Units from 2/16/05 grant and 1333 from 2/15/06 grant that are subject to forfeiture if certain events occur.
- (2) The option vests in three equal installments on the first, second and third anniversaries of the 2/14/07 grant date.
- (3) The option vests in three equal installments on the first, second and third anniversaries of the 2/13/08 grant date.
- (4) The option vests in three equal installments on the first, second and third anniversaries of the 2/10/09 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2