TEREX CORP Form 4 October 15, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* **DEFEO RONALD M** 

(Middle)

TEREX CORPORATION, 200

(First)

NYALA FARM ROAD

(Street)

2. Issuer Name and Ticker or Trading Symbol

TEREX CORP [TEX]

3. Date of Earliest Transaction

(Month/Day/Year) 10/10/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify below) Chairman & CEO

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### WESTPORT, CT 06880

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                           | Derivative :  | Securi | ities Acqu   | iired, Disposed of                                       | , or Beneficial   | ly Owned       |
|--------------------------------------|---|---|---------------------------------------|---------------|--------|--|--|---|----------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transacti<br>Code<br>(Instr. 8) | · / /         |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                |
|                                      |   |   | Code V                                | Amount        |        | Price  | (Instr. 3 and 4)   |   |                |
| Common<br>Stock, par<br>value \$.01  | 10/10/2013                              |   | M                                     | 46,104<br>(1) | A      | \$<br>16.35  | 1,641,902  | D   |                |
| Common<br>Stock, par<br>value \$.01  | 10/10/2013                              |   | S                                     | 6,904<br>(1)  | D      | \$<br>35.02  | 1,634,998  | D   |                |
| Common<br>Stock, par<br>value \$.01  | 10/10/2013                              |   | S                                     | 39,200<br>(1) | D      | \$<br>35.04  | 1,595,798  | D   |                |
| Common<br>Stock, par                 | 10/10/2013                              |   | I V                                   | 0             | A      | \$ 0   | 9,714  | I   | 401(k)<br>plan |

value \$.01

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) |     |               | e Expiration Date s (Month/Day/Year) (A) sed of |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|-----|---------------|---|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) | (D)           | Date<br>Exercisable                             | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 16.35  | 10/10/2013                              |   | M                                      |     | 46,104<br>(1) | 05/07/2005                                      | 05/07/2014         | Common<br>Stock par<br>value<br>\$.01                         | 46,104                              |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                |       |  |  |  |  |
|--|---------------|-----------|----------------|-------|--|--|--|--|
| 1  | Director      | 10% Owner | Officer        | Other |  |  |  |  |
| DEFEO RONALD M<br>TEREX CORPORATION<br>200 NYALA FARM ROAD<br>WESTPORT, CT 06880 | X             |           | Chairman & CEO |       |  |  |  |  |

### **Signatures**

/s/Scott J. Posner, by power of attorney 10/15/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction executed pursuant to a Rule 10b5-1 stock trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: TEREX CORP - Form 4

| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |
|---|
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |