## Edgar Filing: TORDA JAMES J - Form 3

## TORDA JAMES J Form 3 April 04, 2003

| April 04, 2003  |   |  |  |   |  |   |                                    |                           |  |
|---|---|--|--|---|--|---|------------------------------------|---------------------------|--|
| FORM 3  | UNITED STATES SECURITIES AND EXCHANGE COMMISSION  |  |  |   |  |   |                                    | OMB APPROVAL              |  |
|   |   | Washington, DC 20549   |  |   |  |   |                                    | OMB Number: 3235-0104     |  |
|   |   |  |  |   |  |   |                                    | Expires: January 31, 2005 |  |
|   | INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public<br>Utility<br>Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |  |  |   |  |   | Estimated aver-<br>hours per respo |                           |  |
| (Print or Type<br>Responses)                                      |   |  |  |   |  |   |                                    |                           |  |
| 1. Name and Address of Reporting<br>Person*<br><b>Torda James</b> |   | 2. Date of Event<br>Requiring<br>Statement<br>(Month/Day/Year)<br><b>3/13/02</b> |  | <ul><li>4. Issuer Name and Ticker or Trading Symbol</li><li>Asbury Automotive Group, Inc. [NYSE: ABG]</li></ul> |  |   |                                    |                           |  |
| (Last) (First)<br>3600 West Airpor                                | (Middle)<br>t Freeway   | Identification<br>Number of<br>Reporting Person                                  |  | to Issuer   | o of Reporting Person(s)<br>Check all applicable)<br>[X] 10% | <ul> <li>6. If Amendment, Date of<br/>Original (Month/Year)</li> <li>7. Individual or Joint/Group<br/>Filing<br/>(Check Applicable Line)</li> <li>[X] Form filed by One<br/>Reporting Person</li> </ul> |                                    |                           |  |
| (Street)  |   |  |  | [_] Officer (gi<br>(specify title b   |  |   |                                    |                           |  |
| Irving<br>Texas 75062   |   |  |  |   |  | [_] Form filed by<br>One Reporting P  |                                    |                           |  |
| (City) (State)  | (Zip)   | (Zip) Table I Non-Derivative Securities Beneficially Owned                       |  |   |  |   | ed                                 |                           |  |
| (Instr.4) Secu<br>Ben   |   | urities Direc  |  | vnership Form:<br>t (D) or<br>ect (I) (Instr. 5)  | 4. Nature of Indirect Ben<br>(Instr. 5)                      |   | _                                  |                           |  |
| Common Stock, par value \$0.01 76, per share                      |   | 835 <sup>(1)</sup> (D)   |  |   |  |   |                                    |                           |  |
|   |   |  |  |   |  |   |                                    |                           |  |
|   |   |  |  |   |  |   |                                    |                           |  |
|   |   |  |  |   |  |   |                                    |                           |  |
|   |   |  |  |   |  |   |                                    |                           |  |
|   |   |  |  |   |  |   |                                    |                           |  |

<sup>(1)</sup> James Torda could be deemed to be part of a group as defined in Section 13(d) of the Exchange Act that owns approximately 78.8% of the outstanding common stock of Asbury Automotive Group, Inc. based on voting arrangements in a shareholders agreement. James Torda expressly disclaims beneficial ownership of the shares of Asbury Automotive Group, Inc. beneficially owned by all other parties to the shareholders agreement. Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

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(Over) SEC1473 (7-02)

| (continued)                                    | •   | •••                     |  | -  |   |   |  |
|--|---|-------------------------|--|--|---|---|--|
| 1.Title of Derivative<br>Security<br>(Instr.4) | 2.Date Exer-<br>cisable and<br>Expiration<br>Date<br>(Month/Day/Year) |                         | <ol> <li>Title and Amount of Sec<br/>Underlying Derivative Secu</li> <li>4)</li> </ol> | 4.<br>Conver-<br>sion or<br>Exercise<br>Price of | 5. Owner-<br>ship<br>Form of<br>Deriv-<br>ative                         | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |
|  | Date<br>Exer-<br>cisable*   | Expira-<br>tion<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares           | Deriv-<br>ative Direct (D)<br>Security or<br>Indirect (I)<br>(Instr. 5) | Direct (D)<br>or<br>Indirect (I)                            |  |
| Options on Common<br>Stock                     | 3/13/03   | 3/13/12                 | Common Stock   | 4,040.33   | \$16.50   | (D)   |  |
|  |   |                         |  |  |   |   |  |
|  |   |                         |  |  |   |   |  |
|  |   |                         |  |  |   |   |  |
|  |   |                         |  |  |   |   |  |

## FORM 3 Table II Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) (continued)

Explanation of Responses:

\* Vesting 1/3, 1/3, 1/3 each year. The first 1/3 vest date is listed here.

|   | /s/ James J. Torda              | 4/5/03 |  |
|---|---------------------------------|--------|--|
|   | **Signature of Reporting Person | Date   |  |
| Intentional misstatements or omissions of facts constitute Federal<br>Criminal Violations.<br>See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). |                                 |        |  |

Note:

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File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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