**FALCONE PHILIP** Form 4

November 18, 2008

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARBINGER CAPITAL

PARTNERS SPECIAL SITUATIONS FUND, L.P. 2. Issuer Name and Ticker or Trading

Symbol

NEW YORK TIMES CO [NYT]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 11/14/2008

Director X\_\_ 10% Owner X\_ Other (specify Officer (give title

below) below) See Remarks

555 MADISON AVENUE, 16TH **FLOOR** 

1. Title of

Security

(Instr. 3)

4. If Amendment, Date Original

(Instr. 8)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10022

(City) (State) (Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

4. Securities Execution Date, if TransactionAcquired (A) or Code Disposed of (D) 5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4)

Following Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date Underlying Securities** 

8. Price of

Derivativ

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. )	8)	of (M Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		• /		(Instr. 3 and 4)		Security (Instr. 5)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Equity Swap	<u>(4)</u>	11/14/2008		J			1 (4)	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	435,000	\$ 7.471
Equity Swap	<u>(5)</u>	11/17/2008		J			1 (5)	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	210,000	\$ 7.19

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
The state of the s	Director	10% Owner	Officer	Other		
HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS FUND, L.P. 555 MADISON AVENUE 16TH FLOOR NEW YORK, NY 10022		X		See Remarks		
HARBERT MANAGEMENT CORP 2100 THIRD AVENUE NORTH SUITE 600 BIRMINGHAM, AL 35203		X		See Remarks		
HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS GP, LLC 555 MADISON AVENUE 16TH FLOOR NEW YORK, NY 10022		X		See Remarks		
HMC - NEW YORK, INC. 555 MADISON AVENUE 16TH FLOOR NEW YORK, NY 10022		X		See Remarks		
FALCONE PHILIP 555 MADISON AVE 16TH FLOOR NEW YORK, NY 10022		X		See Remarks		
HARBERT RAYMOND J 2100 THIRD AVENUE NORTH		X		See Remarks		

Reporting Owners 2

SUITE 600

BIRMINGHAM, AL 35203

LUCE MICHAEL D

2100 THIRD AVENUE NORTH

X

See
Remarks

BIRMINGHAM, AL 35203

## **Signatures**

Harbinger Capital Partners Special Situations Fund, L.P. (+), By: Harbinger Capital Partners Special Situations GP, LLC, By: HMC - New York, Inc., Managing Member, By: /s/ Joel B. Piassick, Executive VP

\*\*Signature of Reporting Person Date

Harbert Management Corporation (+), By: /s/ Joel B. Piassick, Executive Vice President

11/18/2008

\*\*Signature of Reporting Person Date

11/18/2008

11/18/2008

Harbinger Capital Partners Special Situations GP, LLC (+), By: HMC-New York, Inc.,

Managing member, By: /s/ Joel B. Piassick, Executive Vice President 11/18/2008

\*\*Signature of Reporting Person Date

HMC-New York, Inc. (+), By: /s/ Joel B. Piassick, Executive Vice President

\*\*Signature of Reporting Person Date

/s/ Philip Falcone (+) 11/18/2008

\*\*Signature of Reporting Person Date

/s/ Raymond J. Harbert (+) 11/18/2008

\*\*Signature of Reporting Person Date

11/18/2008

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

/s/ Michael D. Luce (+)

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- IMPORTANT NOTE: THE SECURITIES SET FORTH IN THIS REPORT ARE DIRECTLY BENEFICIALLY OWNED BY
  HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS FUND, L.P. (THE "SPECIAL SITUATIONS FUND"). ALL OTHER
  REPORTING PERSONS ARE INCLUDED WITHIN THIS REPORT DUE TO THEIR AFFILIATION WITH THE SPECIAL
  SITUATIONS FUND.
  - Harbinger Capital Partners Special Situations GP, LLC, or HCPSS, is the general partner of the Special Situations Fund, HMC-New York, Inc., or HMCNY, is the managing member of HCPSS. Harbert Management Corporation, or HMC, is the parent of HMCNY.
- (2) Philip Falcone is a shareholder of HMC and the portfolio manager of the Special Situations Fund. Raymond J. Harbert and Michael D. Luce are shareholders of HMC. Each of HCPSS, HMCNY, HMC, and Messrs. Falcone, Harbert and Luce may be deemed to beneficially own the securities owned directly or indirectly by the Special Situations Fund.
- Each Reporting Person listed in Footnotes 1 and 2 disclaims beneficial ownership of the reported securities except to the extent of his or (3) its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

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- On Nov. 14, 2008, the Special Situations Fund closed out a certain equity swap transaction with the counterparty thereto in the amount of 435,000 notional Shares at a price of \$7.4719. The terms of the equity swap transaction did not contemplate interim payments of
- (4) appreciation or depreciation of the Shares, the Special Situations Fund was not entitled to any dividends on the Shares or equivalent thereof, and neither party acquired any ownership interest, voting or similar rights, or dispositive power over any Share under the equity swap transaction.
  - On Nov. 17, 2008, the Special Situations Fund closed out a certain equity swap transaction with the counterparty thereto in the amount of 210,000 notional Shares at a price of \$7.1900. The terms of the equity swap transaction did not contemplate interim payments of
- (5) appreciation or depreciation of the Shares, the Special Situations Fund was not entitled to any dividends on the Shares or equivalent thereof, and neither party acquired any ownership interest, voting or similar rights, or dispositive power over any Share under the equity swap transaction.

### **Remarks:**

(+) The Reporting Persons and the other persons referred to the footnotes to this Statement may be deemed to be members of a "group" for purposes of the Securities Exchange Act of 1934. Each Reporting Person disclaims beneficial ownership of any securities deemed to be owned by the group that are not directly owned by the reporting person. This Statement shall not be deemed an admission that such Reporting Person is a member of a group or the beneficial owner of any securities not directly owned by such Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.