

Edgar Filing: UICI - Form 8-K

UICI  
Form 8-K  
November 14, 2002

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D. C. 20549

FORM 8-K

Pursuant to Section 13 or 15(d) of  
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) November 13, 2002  
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UICI  
(Exact name of registrant as specified in its charter)

Delaware  
-----  
(State or other jurisdiction of incorporation  
or organization)

001-14953  
-----  
(Commission File  
Number)

7  
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(I  
Iden

4001 McEwen Drive, Suite 200, Dallas, Texas  
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(Address of principal executive offices)

-----  
(Zip Code

Registrant's telephone number, including area code: (972) 392-6700  
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Not Applicable  
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(Former name or former address, if changed since last report)

ITEM 7. FINANCIAL STATEMENTS, PRO FORM FINANCIAL INFORMATION AND EXHIBITS

Exhibit 99.1 Copy of Certification of Gregory T. Mutz and Mark D. Hauptman, the Chief Executive Officer and Chief Financial Officer, respectively, of the Company, submitted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (submitted for informational purposes only),

ITEM 9. REGULATION FD DISCLOSURE

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On November 13, 2002, in connection with the filing of the Quarterly Report on Form 10-Q of UICI (the "Company") for the period ended September 30, 2002 (the "Report"), Gregory T. Mutz and Mark D. Hauptman, the Chief Executive Officer and Chief Financial Officer, respectively, of the Company, each certified, pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002, that, to such officer's knowledge:

- (1) The Report fully complies with the requirements of Section 13(a) or 15(d) of the Securities Exchange Act of 1934; and
- (2) The information contained in the Report fairly presents, in all material respects, the financial condition and results of operations of the Company as of the dates and for the periods expressed in the Report.

A copy of the Certification is attached to this Report on Form 8-K as Exhibit 99.1 solely for informational purposes

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

UICI

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(Registrant)

Date November 13, 2002.  
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By /s/ Mark D. Hauptman  
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Mark D. Hauptman  
Vice President and Chief  
Financial Officer

### INDEX TO EXHIBITS

#### EXHIBIT

NUMBER DESCRIPTION  
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