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TRI-CONTINENTAL CORP

Form NSAR-A

August 29, 2012

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000 A000000 06/30/2012
000 C000000 0000099614
000 D000000 N
000 E000000 NF
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000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6126715682
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
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006 000000 N
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007 B000000 0
007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-5685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02266-8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 22110
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
014 B000004 8-67196
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015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10004
015 E010001 X

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015 A000002 AB SEB BANKAS
015 B000002 S
015 C010002 VILNIUS
015 D010002 LITHUANIA
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015 A000003 BANCO BCT, S.A.
015 B000003 S
015 C010003 SAN JOSE
015 D010003 COSTA RICA
015 E040003 X
015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
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015 D010005 MEXICO
015 E040005 X
015 A000006 BANCO SANTANDER CHILE
015 B000006 S
015 C010006 SANTIAGO
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015 E040006 X
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
015 E040007 X
015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
015 D010008 ISRAEL
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
015 B000009 S
015 C010009 TUNIS CEDEX
015 D010009 TUNISIA
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015 A000010 BARCLAYS BANK OF ZIMBABWE LIMITED
015 B000010 S
015 C010010 HARARE
015 D010010 ZIMBABWE
015 E040010 X
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015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000011 S
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015 B000013 S
015 C010013 MILAN
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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.

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015 B000014 S
015 C010014 HESPERANGE
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015 A000017 CANADIAN IMPERIAL BANK OF COMMERCE
015 B000017 S
015 C010017 TORONTO
015 D010017 CANADA
015 E040017 X
015 A000018 CITIBANK A.S.
015 B000018 S
015 C010018 ISTANBUL
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015 E040018 X
015 A000019 CITIBANK DEL PERU S.A.
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015 C010019 SAN ISIDRO
015 D010019 PERU
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015 A000020 CITIBANK, N.A.
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015 C010025 JAKARTA
015 D010025 INDONESIA
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015 A000026 DEUTSCHE BANK AG
015 B000026 S

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015 C010026 LONDON
015 D010026 UNITED KINGDOM
015 E040026 X
015 A000027 FIRSTRAND BANK LIMITED
015 B000027 S
015 C010027 JOHANNESBURG
015 D010027 SOUTH AFRICA
015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
015 B000028 S
015 C010028 SHANGHAI
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015 A000029 HSBC BANK (VIETNAM) LTD.
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015 C010029 HO CHI MINH CITY
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015 A000030 HSBC BANK ARGENTINA S.A.
015 B000030 S
015 C010030 BUENOS AIRES
015 D010030 ARGENTINA
015 E040030 X
015 A000031 HSBC BANK BERMUDA LIMITED
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PAGE 5
015 C010031 HAMILTON
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015 E040031 X
015 A000032 HSBC BANK MALAYSIA BERHAD
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015 C010032 KUALA LUMPUR
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015 E040032 X
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015 D010033 MALTA
015 E040033 X
015 A000034 HSBC BANK MIDDLE EAST LIMITED
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015 C010037 BEIRUT
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015 E040037 X
015 A000038 HSBC BANK MIDDLE EAST LIMITED
015 B000038 S
015 C010038 RUWI

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015 D010038 OMAN
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015 A000039 HSBC BANK MIDDLE EAST LIMITED
015 B000039 S
015 C010039 RAMALLAH
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015 E040039 X
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015 A000042 HSBC BANK MIDDLE EAST LIMITED
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015 A000043 HSBC BANK MIDDLE EAST LIMITED
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015 A000050 ISLANDSBANKI HF.
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015 B000051 S
015 C010051 FRANKFURT
015 D010051 GERMANY
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015 B000052 S
015 C010052 MOSCOW
015 D010052 RUSSIA
015 E040052 X
015 A000053 J.P. MORGAN S.A. DTVM
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015 C010053 SAO PAULO
015 D010053 BRAZIL
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015 A000054 JPMORGAN CHASE BANK, N.A.
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015 D010057 TAIWAN
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015 C010058 LONDON
015 D010058 UNITED KINGDOM
015 E040058 X
015 A000059 MIZUHO CORPORATE BANK, LIMITED
015 B000059 S
015 C010059 TOKYO
015 D010059 JAPAN
015 E040059 X
015 A000060 NORDEA BANK AB
015 B000060 S
015 C010060 STOCKHOLM
015 D010060 SWEDEN
015 E040060 X
015 A000061 NORDEA BANK DANMARK A/S
015 B000061 S
015 C010061 TAASTRUP
015 D010061 DENMARK
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015 A000062 NORDEA BANK FINLAND PLC
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015 C010062 HELSINKI
015 D010062 FINLAND

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015 E040062 X
015 A000063 NORDEA BANK NORGE ASA
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015 D010063 NORWAY
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015 A000064 PRIVREDNA BANKA ZAGREB D.D.
015 B000064 S
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015 D010064 CROATIA
015 E040064 X
015 A000065 REPUBLIC BANK LIMITED
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015 C010065 PORT OF SPAIN
015 D010065 TRINIDAD AND TOBAGO
015 E040065 X
015 A000066 ROYAL BANK OF CANADA
015 B000066 S
015 C010066 TORONTO
015 D010066 CANADA
015 E040066 X
015 A000067 SANTANDER INVESTMENT TRUST COLUMBIA S.A.
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015 D010067 COLUMBIA
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015 A000068 SANTANDER INVESTMENT, S.A.
015 B000068 S
015 C010068 MADRID
015 D010068 SPAIN
015 E040068 X
015 A000069 SB HSBC BANK KAZAKHSTAN JSC
015 B000069 S
015 C010069 ALMATY
015 D010069 KAZAKHSTAN
015 E040069 X
015 A000070 SOCIETE GENERALE
015 B000070 S
015 C010070 PARIS
015 D010070 FRANCE
015 E040070 X
015 A000071 SOCIETE GENERALE DE BANQUES EN COTE D'IVOIRE
015 B000071 S
015 C010071 ABIDJAN
015 D010071 IVORY COAST
015 E040071 X
015 A000072 SOCIETE GENERALE MAROCAINE DE BANQUES
PAGE 9
015 B000072 S
015 C010072 CASABLANCA
015 D010072 MOROCCO
015 E040072 X
015 A000073 STANBIC BANK TANZANIA LIMITED
015 B000073 S
015 C010073 DAR ES SALAAM
015 D010073 TANZANIA
015 E040073 X
015 A000074 STANBIC IBTC BANK PLC
015 B000074 S
015 C010074 LAGOS
015 D010074 NIGERIA
015 E040074 X

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015 A000075 STANDARD BANK LIMITED, MALAWI
015 B000075 S
015 C010075 BLANTYRE
015 D010075 MALAWI
015 E040075 X
015 A000076 STANDARD BANK NAMIBIA LIMITED
015 B000076 S
015 C010076 WINDHOEK
015 D010076 NAMIBIA
015 E040076 X
015 A000077 STANDARD CHARTERED BANK
015 B000077 S
015 C010077 DHAKA
015 D010077 BANGLADESH
015 E040077 X
015 A000078 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000078 S
015 C010078 KARACHI
015 D010078 PAKISTAN
015 E040078 X
015 A000079 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
015 B000079 S
015 C010079 BANGKOK
015 D010079 THAILAND
015 E040079 X
015 A000080 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000080 S
015 C010080 GABORONE
015 D010080 BOTSWANA
015 E040080 X
015 A000081 STANDARD CHARTERED BANK GHANA LIMITED
015 B000081 S
015 C010081 ACCRA
015 D010081 GHANA
015 E040081 X
015 A000082 STANDARD CHARTERED BANK KENYA LIMITED
015 B000082 S
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015 C010082 NAIROBI
015 D010082 KENYA
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015 A000083 STANDARD CHARTERED BANK UGANDA LIMITED
015 B000083 S
015 C010083 KAMPALA
015 D010083 UGANDA
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015 A000084 STANDARD CHARTERED BANK ZAMBIA PLC
015 B000084 S
015 C010084 LUSAKA
015 D010084 ZAMBIA
015 E040084 X
015 A000085 STANDARD CHARTERED FIRST BANK KOREA LIMITED
015 B000085 S
015 C010085 SEOUL
015 D010085 SOUTH KOREA
015 E040085 X
015 A000086 SWEDBANK AS
015 B000086 S
015 C010086 TALLINN
015 D010086 ESTONIA
015 E040086 X
015 A000087 SWEDBANK AS

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015 B000087 S
015 C010087 RIGA
015 D010087 LATVIA
015 E040087 X
015 A000088 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000088 S
015 C010088 TOKYO
015 D010088 JAPAN
015 E040088 X
015 A000089 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000089 S
015 C010089 KOWLOON
015 D010089 HONG KONG
015 E040089 X
015 A000090 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000090 S
015 C010090 EBENE
015 D010090 MAURITIUS
015 E040090 X
015 A000091 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
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015 C010091 TAGUIG CITY
015 D010091 PHILIPPINES
015 E040091 X
015 A000092 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000092 S
015 C010092 COLOMBO
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015 D010092 SRI LANKA
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015 A000093 UBS AG
015 B000093 S
015 C010093 ZURICH
015 D010093 SWITZERLAND
015 E040093 X
015 A000094 UNICREDIT BANK AUSTRIA AG
015 B000094 S
015 C010094 VIENNA
015 D010094 AUSTRIA
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015 A000095 UNICREDIT BANK CZECH REPUBLIC A.S.
015 B000095 S
015 C010095 PRAGUE
015 D010095 CZECH REPUBLIC
015 E040095 X
015 A000096 UNICREDIT BANK SLOVAKIA A.S.
015 B000096 S
015 C010096 BRATISLAVA
015 D010096 SLOVAK REPUBLIC
015 E040096 X
015 A000097 UNICREDIT BANK SRBIJA A.D.
015 B000097 S
015 C010097 BELGRADE
015 D010097 SERBIA
015 E040097 X
015 A000098 UNICREDIT BANKA SLOVENIJA D.D.
015 B000098 S
015 C010098 LJUBLJANA
015 D010098 SLOVENIA
015 E040098 X
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019 B000000 220
019 C000000 COLUMBIAFD
020 A000001 CITIGROUP GLOBAL MARKETS INC.
020 B000001 11-2418191
020 C000001 25
020 A000002 STIFEL, NICOLAUS & CO., INC.
020 B000002 43-0538770
020 C000002 22
020 A000003 MORGAN STANLEY
020 B000003 13-2655998
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020 A000004 UBS SECURITIES LLC
020 B000004 13-3873456
020 C000004 9
020 A000005 GOLDMAN, SACHS & CO.
020 B000005 13-5108880
020 C000005 9
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020 A000006 RAYMOND JAMES & ASSOCIATES, INC.
020 B000006 59-1237041
020 C000006 8
020 A000007 BARCLAYS CAPITAL
020 B000007 13-3297824
020 C000007 8
020 A000008 J.P. MORGAN SECURITIES, INC.
020 B000008 13-3224016
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020 A000009 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
020 B000009 32-0045263
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020 A000010 WEEDEN & CO. L.P.
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022 C000002 95739
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022 A000003 J.P. MORGAN SECURITIES, INC.
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022 C000003 76540
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022 B000006 13-3297824
022 C000006 9425
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022 A000007 JEFFERIES & COMPANY, INC.
022 B000007 95-2622900
022 C000007 9901
022 D000007 6583

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022 A000008 WELLS FARGO INVESTMENTS, LLC
022 B000008 91-1374280
022 C000008 3704
022 D000008 8462
022 A000009 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
022 B000009 32-0045263
022 C000009 2918

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022 D000009 4451
022 A000010 STERNE, AGEE & LEACH INC.
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025 A000002 JPMorgan Chase & Co.
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086 A020000 2543
086 B010000 1104
086 B020000 16929
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086 C020000 0
086 D010000 0
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086 E010000 0
086 E020000 0
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086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 B030000 TY
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 16, 2012

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Wade M. Voigt

Wade M. Voigt
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION