#### CENTURY ALUMINUM CO

Form 4

January 04, 2017

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Other (specify

Estimated average burden hours per response... 0.5

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hoerner John E.

2. Issuer Name and Ticker or Trading Symbol

**CENTURY ALUMINUM CO** 

[CENX]

3. Date of Earliest Transaction (Month/Day/Year)

12/31/2016

5. Relationship of Reporting Person(s) to

Issuer

below)

Director

X\_ Officer (give title

(Check all applicable)

EVP - N. American Operations

C/O CENTURY ALUMINUM COMPANY, ONE SOUTH WACKER DRIVE, SUITE 1000

(First)

(State)

(Street)

(Middle)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CHICAGO, IL 60606

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Code V

A

Amount 4,170 F (1)

(3)

18,751

(D) Price \$ D 8.56

\$0

(A)

or

84,545 (2)

Transaction(s)

(Instr. 3 and 4)

103,296 (4) D

D

Stock

Common

Common

Stock

01/01/2017

12/31/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

**SEC 1474** (9-02)

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### Edgar Filing: CENTURY ALUMINUM CO - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.                         | 6. Date Exerc | cisable and     | 7. Title   | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|----------------------------|---------------|-----------------|------------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |                            | Expiration D  | ate             | Amount of  |             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of                         | (Month/Day/   | Year)           | Underly    | ing         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |                            |               |                 | Securities |             | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities Acquired (A) or |               |                 | (Instr. 3  | and 4)      |             | Own    |
|             | Security    |                     |                    |                       |                            |               |                 |            |             |             | Follo  |
|             | •           |                     |                    |                       |                            |               |                 |            |             |             | Repo   |
|             |             |                     |                    |                       | Disposed                   |               |                 |            |             |             | Trans  |
|             |             |                     |                    |                       | of (D)                     |               |                 |            |             |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3,<br>4, and 5)    |               |                 |            |             |             |        |
|             |             |                     |                    |                       |                            |               |                 |            |             |             |        |
|             |             |                     |                    |                       |                            |               |                 | Λ.         | mount       |             |        |
|             |             |                     |                    |                       |                            |               |                 |            | mount       |             |        |
|             |             |                     |                    |                       |                            | Date          | Expiration Date | Title N    | r<br>Jumber |             |        |
|             |             |                     |                    |                       |                            | Exercisable   |                 | Title Numb |             |             |        |
|             |             |                     |                    | C + V                 | (A) (D)                    |               |                 |            |             |             |        |
|             |             |                     | Code V (A) (D)     |                       |                            |               |                 | S          | hares       |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Other Director 10% Owner Officer

Hoerner John E. C/O CENTURY ALUMINUM COMPANY ONE SOUTH WACKER DRIVE, SUITE 1000 CHICAGO, IL 60606

EVP - N. American Operations

Relationships

## **Signatures**

/s/ Jesse E. Gary, Attorney-in-Fact for John E. 01/04/2017 Hoerner

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reports shares withheld by the Issuer to satisfy tax obligations in connection with the vesting of performance share units granted to the (1) Reporting Person.
- Includes unvested time vesting performance share units granted to the Reporting Person pursuant to Issuer's 2015-2017 and 2016-2018 **(2)** Long-Term Incentive Plans under Rule 16b-3(d).
- Represents time vesting performance share units granted to the Reporting Person in connection with the Issuer's 2017-2019 Long-Term (3)Incentive Plan under Rule 16b-3(d), all of which will vest in the ordinary course on the last day of the Plan Period, December 31, 2019.
- Includes unvested performance share units granted to the Reporting Person pursuant to Issuer's 2015-2017, 2016-2018 and 2017-2019 Performance Share Programs under a Rule 16b-3(d) plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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