Kuc Paul Form 3 February 21, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Kuc Paul

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/21/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Ceres, Inc. [CERE]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O CERES, INC., Â 1535 RANCHO CONEJO **BOULEVARD** 

(Street)

(State)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### **THOUSAND** OAKS, CAÂ 91320

1. Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

3.

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

4.

or Exercise

Price of

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. Conversion

Ownership Beneficial Ownership Form of Derivative

(Instr. 5)

6. Nature of Indirect

Date Expiration Exercisable Date

Title

Amount or Number of

Security: Derivative Security Direct (D)

### Edgar Filing: Kuc Paul - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right To Buy)	(1)	09/02/2018	Common Stock	109,999	\$ 6.75	D	Â
Stock Option (Right To Buy)	(2)	06/07/2020	Common Stock	33,332	\$ 6.75	D	Â
Stock Option (Right To Buy)	(3)	06/22/2021	Common Stock	13,333	\$ 16.77	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Kuc Paul C/O CERES, INC. 1535 RANCHO CONEJO BOULEVARD THOUSAND OAKS. CA 91320	Â	Â	Chief Financial Officer	Â		

### **Signatures**

/s/ Wilfriede van Assche, Attorney-in-Fact for Paul Kuc

02/08/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is subject to an early exercise provision and is immediately exercisable in full. One-fourth of the shares subject to the option vested on September 3, 2009, and one forty-eighth of the shares vest monthly thereafter.
- (2) The option is subject to an early exercise provision and is immediately exercisable in full. One-fourth of the shares subject to the option vested on June 8, 2011, and one forty-eighth of the shares vest monthly thereafter.
- (3) The option is subject to an early exercise provision and is immediately exercisable in full. Forty percent of the shares subject to the option vest on June 23, 2013, and one sixtieth of the shares vest monthly thereafter.

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#### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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