CASTLE A M & CO

Form 4

December 30, 2014

Check this box

if no longer

subject to

Section 16.

Form 4 or

Form 5

| FORM 4      | UNITED STATES SECURITIES AND EXCHANGE COMMISSION |
|-------------|--|
| 1 OI tivi 4 | UNITED STATES SECURITIES AND EXCHANGE COMMISSION |

**OMB APPROVAL** 

OMB Number:

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January 31, 2005

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response...

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Washington, D.C. 20549

**SECURITIES** 

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* MARTIN WILLIAM C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

CASTLE A M & CO [CAS]

3. Date of Earliest Transaction

(Month/Day/Year)

12/26/2014

(Check all applicable)

C/O RAGING CAPITAL MANAGEMENT, LLC, TEN

(First)

(Middle)

PRINCETON AVENUE, PO BOX 228

(Street)

4. If Amendment, Date Original

Director

X\_\_ 10% Owner Officer (give title Other (specify

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

ROCKY HILL, NJ 08553

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of Transactionr Disposed of (D) Securities Ownership Indirect Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: (Month/Day/Year) (Instr. 8) Owned Direct (D)

> Following or Indirect Reported (A) Transaction(s) (Instr. 4) or

(Instr. 3 and 4) Code V (D) Price Amount

ByCommon Raging Stock, Capital 12/26/2014 P \$ 7.172 3,067,107  $I^{(2)}$ 600 A \$0.01 par Master value (1) Fund.

Common 12/30/2014 P 252,700 A \$ 3,319,807  $I^{(2)}$ Stock, 7.6388

\$0.01 par value (1)

Raging Capital Master

Ltd.

By

7. Nature of

Beneficial

Ownership

(Instr. 4)

Fund, Ltd.

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) |                     | ate                | Amou<br>Under<br>Securi | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---------------------|--------------------|-------------------------|---|---|---|
|   |   |   | Code V                                  | (Instr. 3, 4, and 5)  (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                   | Amount<br>or<br>Number<br>of<br>Shares                                    |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
| reporting o wher runner reduces  | Director      | 10% Owner | Officer | Other |  |  |
| MARTIN WILLIAM C<br>C/O RAGING CAPITAL MANAGEMENT, LLC<br>TEN PRINCETON AVENUE, PO BOX 228<br>ROCKY HILL, NJ 08553         |               | X         |         |       |  |  |
| Raging Capital Management, LLC<br>TEN PRINCETON AVENUE<br>PO BOX 228<br>ROCKY HILL, NJ 08553-0228                          |               | X         |         |       |  |  |
| Raging Capital Master Fund, Ltd. C/O OGIER FIDUCIARY SERVICES (CAYMAN) 89 NEXUS WAY CAMANA BAY, GRAND CAYMAN, E9 KY 1-9007 |               | X         |         |       |  |  |

# **Signatures**

By: /s/ Frederick C. Wasch as attorney-in-fact for William C. Martin 12/30/2014 \*\*Signature of Reporting Person Date

2 Reporting Owners

#### Edgar Filing: CASTLE A M & CO - Form 4

By: Raging Capital Management, LLC, By: /s/ Frederick C. Wasch, Chief Financial Officer

12/30/2014

\*\*Signature of Reporting Person

Date

By: Raging Capital Master Fund, Ltd., By: Raging Capital Management, LLC, Investment Manager, By: /s/ Frederick C. Wasch, Chief Financial Officer

12/30/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Form 4 is filed jointly by Raging Capital Master Fund, Ltd. ("Raging Master"), Raging Capital Management, LLC ("Raging Capital") and William C. Martin (collectively, the "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of
- (1) a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of CommonStock. Each of the Reporting Persons disclaims beneficial ownership of the shares of Common Stock reported herein except to the extent of his or its pecuniary interest therein.
- Represents securities owned directly by Raging Master. As the Investment Manager of Raging Master, Raging Capital may be deemed to beneficially own the securities owned directlyby Raging Master. As the Managing Member of Raging Capital, Mr. Martin may be deemed to beneficially own the securities owned directly by Raging Master.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3