

KUPFERBERG MAX L

Form 4

April 29, 2005

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KUPFERBERG MAX L

2. Issuer Name **and** Ticker or Trading  
Symbol  
NEW YORK COMMUNITY  
BANCORP INC [NYB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
615 MERRICK AVENUE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/27/2005

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

WESTBURY, NY 11590

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 04/27/2005                              |                                                             | P                                       | 5,000 A<br>\$ 17.97                                                     | 934,059 <sup>(1)</sup><br><sup>(2)</sup>                                                                           | D                                                                          |                                                                   |
| Common<br>Stock                       | 04/27/2005                              |                                                             | P                                       | 10,000 A<br>\$ 17.97                                                    | 1,055,821                                                                                                          | I                                                                          | As<br>shareholder of<br>the Max K<br>One LLC                      |
| Common<br>Stock                       | 04/27/2005                              |                                                             | P                                       | 10,000 A<br>\$ 17.97                                                    | 57,764 <sup>(3)</sup> <sup>(4)</sup>                                                                               | I                                                                          | By Spouse                                                         |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         | 62,221                                                                                                             | I                                                                          | As<br>Administrator<br>of HK IRA                                  |

Edgar Filing: KUPFERBERG MAX L - Form 4

|              |                       |   |  |                                   |
|--------------|-----------------------|---|--|-----------------------------------|
|              |                       |   |  | Rollover                          |
| Common Stock | 536,377               | I |  | As Partner                        |
| Common Stock | 185,777               | I |  | By Kupferberg Foundation          |
| Common Stock | 11,425 <sup>(2)</sup> | I |  | By Max Kupferberg 2004 GRAT       |
| Common Stock | 55,600 <sup>(1)</sup> | I |  | By Max Kupferberg 2005 GRAT       |
| Common Stock | 11,425 <sup>(3)</sup> | I |  | By Selma Kupferberg 2004 GRAT     |
| Common Stock | 55,600 <sup>(4)</sup> | I |  | By Selma Kupferberg 2005 GRAT     |
| Common Stock | 97,288                | I |  | Max & Selma Kupferberg Foundation |
| Common Stock | 7,666                 | I |  | Trustee for EW of JK FBO LC       |
| Common Stock | 7,666                 | I |  | Trustee for EW of JK FBO MK       |
| Common Stock | 280,000               | I |  | Trustee for GST                   |
| Common Stock | 65,389                | I |  | Trustee for JK NEM Trust          |
| Common Stock | 62,221                | I |  | Trustee for KK NEM Trust          |
| Common Stock | 810,000               | I |  | Trustee for KPT                   |
| Common Stock | 270,000               | I |  | Trustee for KRT                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 | 8. L         | 9. S                       | 10. ( |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----|---------------------------------------------------------------|-----------------|--------------|----------------------------|-------|
|                                            |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D) | Date Exercisable                                              | Expiration Date | Title        | Amount or Number of Shares |       |
| Stock Option (right to buy)                | \$ 15.4125                                             |                                      |                                                    |                                |                                                                                         |                                                          |     | 07/24/2002 <sup>(5)</sup>                                     | 01/24/2012      | Common Stock | 216,000                    |       |
| Stock Option (right to buy)                | \$ 13.845                                              |                                      |                                                    |                                |                                                                                         |                                                          |     | 07/24/2003 <sup>(6)</sup>                                     | 07/24/2012      | Common Stock | 45,333                     |       |

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships |           |         |       |
|--------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                              | Director      | 10% Owner | Officer | Other |
| KUPFERBERG MAX L<br>615 MERRICK AVENUE<br>WESTBURY, NY 11590 | X             |           |         |       |

## Signatures

By: /s/ Ilene A. Angarola, Power of Attorney 04/29/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Since the reporting person's last report 55,600 shares previously held directly have been transferred and are now held by Max Kupferberg 2005 GRAT.
- (2) Since the reporting person's last report 28,575 shares previously held by Max Kupferberg 2004 GRAT have been transferred and are now held directly.
- (3)

## Edgar Filing: KUPFERBERG MAX L - Form 4

Since the reporting person's last report 28,575 shares previously held by Selma Kupferberg 2004 GRAT have been transferred and are now held by Spouse.

- (4) Since the reporting person's last report 55,600 shares previously held directly have been transferred and are now held by Selma Kupferberg 2005 GRAT.
- (5) Options automatically granted pursuant to the reload feature of the New York Community Bancorp, Inc. ("NYCB") 1997 Stock Option Plan that were exercisable beginning on July 24, 2002.
- (6) Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan that vest in three equal annual installments beginning on July 24, 2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.