Gogo Inc. Form 4 September 07, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person *

THORNE OAKLEIGH

(First) (Middle)

(Last)

111 N. CANAL STREET

(Street)

(Zip)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Gogo Inc. [GOGO]

(Month/Day/Year)

09/02/2016

Symbol

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

_ Director 10% Owner

Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

6. Ownership

Form: Direct

(Instr. 4)

(D) or Indirect Beneficial

7. Nature of

Ownership

(Instr. 4)

Indirect

Person

(City) (State)

CHICAGO, IL 60606

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

Code V Amount (D) Price

or

5. Amount of Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number of 4. TransactionDerivative Code Securities (Instr. 8) Acquired (A) or 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securitie (Instr. 3 and 4)

Edgar Filing: Gogo Inc. - Form 4

	Derivative Security			Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
3.75% Senior Convertible Notes due	\$ 23.85	09/02/2016	P		\$ 100,000		<u>(1)</u>	03/01/2020	Common Stock	4,192 (2)

Reporting Owners

2020

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
THORNE OAKLEIGH 111 N. CANAL STREET CHICAGO, IL 60606	X	X					
Thorndale Farm LLC 63 FRONT STREET MILLBROOK, NY 12545		X					

Signatures

/s/ Oakleigh Thorne	09/07/2016
**Signature of Reporting Person	Date
Thorndale Farm, L.L.C., By: /s/ Oakleigh Thorne, Chief Executive Officer	09/07/2016
**Cignoture of Departing Derson	Dota

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 3.75% senior convertible notes due 2020 become convertible on December 1, 2019 or earlier upon certain events.
- (2) The 3.75% senior convertible notes due 2020 are convertible into common stock at a conversion price equal to \$23.85 per share of the issuer's common stock, subject to adjustment in certain events.
- (3) Represents the aggregate purchase price for the 3.75% senior convertible notes due 2020.
 - Reflects 3.75% senior convertible notes due 2020 held by Option 1, LLC. Mr. Thorne is the managing member and Mr. Thorne's spouse is the majority member. Mr. Thorne, as the managing member of Option 1, LLC and the spouse of the majority member of Option 1, LLC may be deemed to have beneficial ownership of the 3.75% senior convertible notes due 2020 held by Option 1, LLC. Mr. Thorne
- disclaims beneficial ownership of such 3.75% senior convertible notes due 2020 except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that he is the beneficial owner of such 3.75% senior convertible notes due 2020 for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2