Heritage Insurance Holdings, Inc.

11/09/2015

11/10/2015

11/10/2015

Stock

Stock

Stock

Common

Common

Form 4

November 13, 2015

| November | 13, 2015 | | | | | | | | | | | |
|---|---|--|--|---|------------|-----------|------------------------|---|--|---|--|--|
| FORM 4 UNITED STATES SECURITIES AND EVOLANCE COMMISSION | | | | | | | | OMB APPROVAL | | | | |
| Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | | |
| | Check this box if no longer CRATTERMENTE OF CHANGES IN DENIEFICIAL ONVALED SHIP OF | | | | | | | | Expires: | January 31, | | |
| subject Section Form 4 | to SIAIE 16. or | SECURITIES | | | | | | Estimated average burden hours per response 0.5 | | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person * Binnun Sharon | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | Heritage Insurance Holdings, Inc. [HRTG] | | | | | (Check all applicable) | | | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | Director 10% OwnerX_ Officer (give title Other (specify | | | | |
| HOLDING | TAGE INSURAN SS, INC., 2600 IICK DRIVE SUI | NCE 1 | 1/09/2 | • | | | Ь | elow) EV | below) /P Finance | | | |
| | | | | mendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| CLEARW | ATER, FL 33759 | | | | | | _ | Form filed by Mo Form filed by Mo erson | | | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivative | Secur | rities Acqui | red, Disposed of, | or Beneficiall | y Owned | | |
| 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution Date, if | | | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | (IIISU: 4) | | | |
| Common Stock | 11/09/2015 | | | M | 525 | A | \$ 16.89 | 525 | D | | | |
| Common | 11/09/2015 | | | S | 525 | D | \$ 24.035 | 0 | D | | | |

S

M

S

525

24,475 A

24,475 D

\$ 24.035 0

23.5813 0

24,475

\$ 16.89

\$

(1)

D

D

D

Edgar Filing: Heritage Insurance Holdings, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed 4. 5. Number of Execution Date, if any Code Securities (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exer Expiration D (Month/Day, | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|---|--------------------------------------|---|--------|---|---------------------|---|-----------------|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 16.89 | 11/09/2015 | | M | 525 | <u>(2)</u> | 12/02/2017 | Common Stock | 787 |
| Stock Option (Right to Buy) | \$ 16.89 | 11/10/2015 | | M | 24,475 | (2) | 12/02/2017 | Common Stock | 24,475 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner

vner Officer

Other

Binnun Sharon

C/O HERITAGE INSURANCE HOLDINGS, INC. 2600 MCCORMICK DRIVE SUITE 300 CLEARWATER, FL 33759

EVP Finance

Signatures

/s/ Bruce Lucas, by Power of Attorney 11/12/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price is the weighted average price for the transactions reported on this line. The range of prices for the transactions reported on this line is between \$23.58 and \$23.66 per share. Complete information regarding the number of shares sold at each separate price will be

Reporting Owners 2

Edgar Filing: Heritage Insurance Holdings, Inc. - Form 4

provided upon request by the Commission Staff, the issuer or a security holder of the issuer.

(2) Fifty percent (50%) of the stock options reported on this line were immediately vested on the grant date, and the remaining fifty percent (50%) vested on April 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.