| Edgar Filing: Triumph Bancorp, Inc Form SC 13G/A  |
|---|
| Triumph Bancorp, Inc. Form SC 13G/A February 09, 2018   |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549   |
| SCHEDULE 13G/A  |
| Under the Securities Exchange Act of 1934 (Amendment No. 2)*  |
| Triumph Bancorp, Inc. (Name of Issuer)  |
| Common Stock, par value \$0.01 per share (Title of Class of Securities)   |
| 89679E300<br>(CUSIP Number)   |
| December 31, 2017 (Date of Event which Requires Filing of this Statement)   |
| Check the appropriate box to designate the rule pursuant to which this Schedule is filed:   |
| [X] Rule 13d-1(b) [ ] Rule 13d-1(c) [ ] Rule 13d-1(d)   |
| *The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page. |

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 13GPage 2 of 7 Pages 89679E300 NAME OF REPORTING **PERSON** I.R.S. IDENTIFICATION 1 NO. OF ABOVE PERSON **Basswood Capital** Management, L.L.C. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 Delaware SOLE VOTING **POWER** 5 0 **NUMBER SHARED VOTING** OF **POWER** 

NUMBER SHARED VOTING
OF POWER
SHARES
BENEFICIALLY 10,331
OWNED
BY
EACH SOLE DISPOSITIVE
REPORTING POWER
PERSON
WITH 0

SHARED DISPOSITIVE 8 POWER

10,331

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

10,331

9

10

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.1%

TYPE OF REPORTING PERSON\*

IA

-2-

CUSIP No. 89679E300 13GPage <u>3</u> of <u>7</u> Pages

NAME OF REPORTING

**PERSON** 

1 I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Matthew Lindenbaum

CHECK THE

APPROPRIATE BOX IF A MEMBER OF A GROUP\*

 $\begin{array}{c} \mathbf{v} \\ \mathbf{a} \\ \end{array}$ 

(b)

SEC USE ONLY

3

4

CITIZENSHIP OR PLACE OF ORGANIZATION

**United States** 

**NUMBER** 

OF SOLE VOTING

SHARES <sub>z</sub> POWER

BENEFICIALLY OWNED 0

BY EACH

REPORTING SHARED VOTING

PERSON POWER

WITH O

10,331

SOLE DISPOSITIVE

POWER

0

SHARED DISPOSITIVE POWER

10,331

AGGREGATE AMOUNT
BENEFICIALLY OWNED
BY EACH REPORTING
PERSON

10,331

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.1%

TYPE OF REPORTING PERSON\*

12 IN/HC

-3-

13GPage 4 of 7 Pages 89679E300 NAME OF REPORTING **PERSON** I.R.S. IDENTIFICATION 1 NO. OF ABOVE PERSON Bennett Lindenbaum CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 **United States NUMBER** OF **SOLE VOTING SHARES POWER** BENEFICIALLY **OWNED** 0 BY**EACH REPORTING SHARED VOTING PERSON POWER** WITH 10,331 **SOLE DISPOSITIVE POWER** 7 0 8 **SHARED DISPOSITIVE** 

CUSIP No.

**POWER** 

10,331

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

10,331

9

10

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

0.1%

TYPE OF REPORTING PERSON\*

IN/HC

-4-

### Item

1(a) Name of Issuer:

Triumph Bancorp, Inc.

#### Item

Address of Issuer's Principal Executive Offices:

12700 Park Central Drive, Suite 1700 Dallas, Texas 75251

#### Item

Name of Person Filing:

The information required by Item 2(a) is set forth in Row 1 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

2(b) Address of Principal Business Office or, if none, Residence:

c/o Basswood Capital Management, L.L.C. 645 Madison Avenue, 10<sup>th</sup> Floor New York, NY 10022

#### Item

 $\frac{\text{Citizenship}}{2(c)}$ :

The information required by Item 2(c) is set forth in Row 4 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

2(d) Title of Class of Securities:

Common Stock, par value \$0.01 per share

### Item

2(e) <u>CUSIP Number</u>:

89679E300

## Item 3 Statement filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c):

The information required by Item 3 is set forth in Row 12 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

### Item 4 Ownership:

The information required by Items 4(a)-(c) is set forth in Rows 5-11 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

5

# Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following [X].

#### Item

Ownership of More than Five Percent on Behalf of Another Person:

Not Applicable

Item Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the

7 Parent Holding Company or Control Person:

See Exhibit 99.2

### Item 8

Identification and Classification of Members of the Group:

Not Applicable

#### Item

Notice of Dissolution of Group:

Not Applicable

### Item

10 <u>Certification</u>:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

[Remainder of page intentionally left blank]

-6-

# **SIGNATURE**

After reasonable inquiry and to the best of the knowledge and belief of the undersigned, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: February 9, 2018

## BASSWOOD CAPITAL MANAGEMENT, L.L.C.

By: <u>/s/ Matthew Lindenbaum</u>
Name: Matthew Lindenbaum

Title: Managing Member

/s/ Matthew Lindenbaum

Matthew Lindenbaum, an individual

/s/ Bennett Lindenbaum

Bennett Lindenbaum, an individual

-7-