

MCKENNA MICHAEL J

Form 4

August 16, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MCKENNA MICHAEL J

(Last) (First) (Middle)

C/O INTRICON
CORPORATION, 1260 RED FOX
ROAD

(Street)

ARDEN HILLS, MN 55112

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
INTRICON CORP [IIN]

3. Date of Earliest Transaction
(Month/Day/Year)
08/14/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	08/14/2018		M	(A) or (D) Amount (1) 12,000 Price \$ 3.03	78,009	D	
Common Stock	08/14/2018		F	(1) 531 D \$ 68.6	77,478	D	
Common Stock	08/14/2018		M	(3) 12,000 A \$ 4	89,478	D	
Common Stock	08/14/2018		F	(3) 700 D \$ 68.6	88,778	D	
Common Stock	08/14/2018		M	(4) 12,000 A \$ 4.1	100,778	D	

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Common Stock	08/14/2018	F	718 ⁽⁴⁾	D	\$ 68.6	100,060	D
Common Stock	08/14/2018	M	12,000 ⁽⁵⁾	A	\$ 4.53	112,060	D
Common Stock	08/14/2018	F	793 ⁽⁵⁾	D	\$ 68.6	111,267	D
Common Stock	08/14/2018	M	8,000 ⁽⁶⁾	A	\$ 5.85	119,267	D
Common Stock	08/14/2018	F	683 ⁽⁶⁾	D	\$ 68.6	118,584	D
Common Stock	08/14/2018	M	2,945 ⁽⁷⁾	A	\$ 6.82	121,529	D
Common Stock	08/14/2018	F	293 ⁽⁷⁾	D	\$ 68.6	121,236	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Option to Purchase - Common Stock	\$ 3.03	08/14/2018		M	12,000	⁽²⁾ 04/15/2019	Common Stock	12,000
Option to Purchase - Common Stock	\$ 4	08/14/2018		M	12,000	⁽²⁾ 04/21/2020	Common Stock	12,000
	\$ 4.1	08/14/2018		M	12,000	⁽²⁾ 04/24/2023		12,000

Option to Purchase - Common Stock								Common Stock	
Option to Purchase - Common Stock	\$ 4.53	08/14/2018	M	12,000	<u>(2)</u>	04/27/2021	Common Stock	12,000	
Option to Purchase - Common Stock	\$ 5.85	08/14/2018	M	8,000	<u>(2)</u>	04/28/2026	Common Stock	8,000	
Option to Purchase - Common Stock	\$ 6.82	08/14/2018	M	2,945	<u>(2)</u>	04/23/2024	Common Stock	2,945	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCKENNA MICHAEL J C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112	X			

Signatures

/s/ Scott Longval,
attorney-in-fact

08/16/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) "Cashless Exercise" Resulting in Net Acquisition of 11,469 Shares.
 - (2) This option is Fully Vested and May BE Exercised Immediately.
 - (3) "Cashless Exercise" Resulting in Net Acquisition 11,300 Shares.
 - (4) "Cashless Exercise" Resulting in Net Acquisition of 11,282 Shares.
 - (5) "Cashless Exercise" Resulting in Net Acquisition of 11,207 Shares.
 - (6) "Cashless Exercise" Resulting in Net Acquisition of 7,317 Shares.
 - (7) "Cashless Exercise" Resulting in Net Acquisition of 2,652 Shares.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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