

NORTHERN TRUST CORP
Form 3
July 06, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Schreuder Jana R
(Last) (First) (Middle)

50 S. LA SALLE ST.
(Street)

CHICAGO,Â ILÂ 60675

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
06/30/2005

3. Issuer Name **and** Ticker or Trading Symbol
NORTHERN TRUST CORP [NTRS]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP & Head of Corporate Mgmt.

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

29,159

I

By Trust

Common Stock ⁽¹⁾

31,000

D

Â

Common Stock

10,084 ⁽²⁾

I

401(k)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option	09/17/1998	09/17/2006	Common Stock	5,968	\$ 16.75	D	Â
Employee Stock Option	09/16/1999	09/16/2007	Common Stock	14,000	\$ 28.3125	D	Â
Employee Stock Option	09/15/2000	09/15/2008	Common Stock	16,000	\$ 34.5938	D	Â
Employee Stock Option	Â <u>(3)</u>	05/13/2009	Common Stock	16,400	\$ 45.1563	D	Â
Employee Stock Option	Â <u>(4)</u>	05/15/2010	Common Stock	25,000	\$ 70.2813	D	Â
Employee Stock Option	Â <u>(5)</u>	05/21/2011	Common Stock	20,000	\$ 68.105	D	Â
Employee Stock Option	08/19/2002	02/19/2012	Common Stock	1,398	\$ 53.655	D	Â
Employee Stock Option	Â <u>(6)</u>	05/20/2012	Common Stock	20,000	\$ 52.3	D	Â
Employee Stock Option	08/18/2003	02/18/2013	Common Stock	2,392	\$ 32.615	D	Â
Employee Stock Option	Â <u>(7)</u>	02/18/2013	Common Stock	25,000	\$ 32.615	D	Â
Employee Stock Option	Â <u>(8)</u>	02/17/2014	Common Stock	25,000	\$ 49.12	D	Â
Employee Stock Option	03/31/2005	02/15/2015	Common Stock	25,000	\$ 44.465	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schreuder Jana R 50 S. LA SALLE ST. CHICAGO, IL 60675	Â	Â	Â EVP & Head of Corporate Mgmt.	Â

Signatures

Eileen C. Ratzka as POA for Jana R.
Schreuder 07/06/2005

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.

(2) as of 6/30/2005

(3) The option became exercisable as to 10,932 shares on May 13, 2001, and became exercisable as to the remaining 5,468 shares on May 13, 2002.

(4) The option became exercisable as to 8,334 shares on May 15, 2001, became exercisable as to 8,333 shares on May 15, 2002, and became exercisable as to the remaining 8,333 shares on May 15, 2003.

(5) This option became exercisable as to 6,667 shares on May 21, 2002, became exercisable as to 6,666 shares on May 21, 2003, and became exercisable as to 6,667 shares on May 21, 2004.

(6) This option became exercisable as to 6,667 shares on May 20, 2003, became exercisable as to 6,666 shares on May 20, 2004, and became exercisable as to the remaining 6,667 on May 20, 2005.

(7) This option became exercisable as to 8,334 shares on February 18, 2004, became exercisable as to 8,333 shares on February 18, 2005, and becomes exercisable as to the remaining 8,333 shares on February 18, 2006.

This option became exercisable as to 6,250 shares on February 17, 2005, becomes exercisable as to 6,250 shares on February 17, 2006,
(8) becomes exercisable as to 6,250 shares on February 17, 2007, and becomes exercisable as to the remaining 6,250 shares on February 17, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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