#### NORDSTROM BLAKE W

Form 4/A October 04, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* NORDSTROM BLAKE W

(First) (Middle)

C/O NORDSTROM, INC., 1617 SIXTH AVENUE

(Street)

SEATTLE, WA 98101

2. Issuer Name and Ticker or Trading Symbol

NORDSTROM INC [JWN]

3. Date of Earliest Transaction (Month/Day/Year) 02/27/2017

4. If Amendment, Date Original

Filed(Month/Day/Year) 02/28/2017

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) below)

5. Relationship of Reporting Person(s) to

President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

3. Code (Instr. 8)

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following

6. Ownership Form: Direct (I) (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed (D) (Instr. 3, 4 and 5)				
				Code V		(D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 75.23	10/06/2015		A	3,044 (1) (2)	(3)	02/24/2025	Common Stock	3,044
Employee Stock Option (right to buy)	\$ 57.16	10/06/2015		A	4,021 (4) (5)	<u>(6)</u>	03/03/2024	Common Stock	4,021
Employee Stock Option (right to buy)	\$ 50.26	10/06/2015		A	6,590 (7) (8)	<u>(9)</u>	03/04/2023	Common Stock	6,590
Employee Stock Option (right to buy)	\$ 49.15	10/06/2015		A	4,864 (10) (11)	<u>(12)</u>	02/22/2022	Common Stock	4,864
Employee Stock Option (right to buy)	\$ 42.48	10/06/2015		A	4,964 (13) (14)	<u>(15)</u>	02/25/2021	Common Stock	4,964
Employee Stock Option (right to buy)	\$ 34.5	10/06/2015		A	5,532 (16) (17)	<u>(18)</u>	02/26/2020	Common Stock	5,532
Employee Stock Option (right to buy)	\$ 12.58	10/06/2015		A	8,423 (19) (20)	(21)	02/27/2019	Common Stock	8,423
Employee Stock	\$ 35.31	10/06/2015		A	3,675 (22)	(24)	02/27/2018	Common Stock	3,675

Option (right to buy)

(23)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

NORDSTROM BLAKE W
C/O NORDSTROM, INC.
1617 SIXTH AVENUE
SEATTLE, WA 98101

Relationships

President

# **Signatures**

Karen Ruby, Attorney-in-Fact for Blake W. Nordstrom

10/04/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional shares issuable under an option dated 2/24/2015 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (2) The original grant of the underlying option was reported on Form 4 dated 2/26/2015.
- (3) Granted under the issuer's 2010 Equity Incentivy Plan, exercisable in four equal annual installments commencing 2/24/2016.
- (4) Represents additional shares issuable under an option dated 3/3/2014 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (5) The original grant of the underlying option was reported on Form 4 dated 3/5/2014.
- (6) Granted under the issuer's 2010 Equity Incentivy Plan, exercisable in four equal annual installments commencing 3/3/2015.
- (7) Represents additional shares issuable under an option dated 3/4/2013 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (8) The original grant of the underlying option was reported on Form 4 dated 3/5/2013.
- (9) Granted under the issuer's 2010 Equity Incentivy Plan, exercisable in four equal annual installments commencing 3/4/2014.
- (10) Represents additional shares issuable under an option dated 2/17/2012 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (11) The original grant of the underlying option was reported on Form 4 dated 2/22/2012.
- (12) Granted under the issuer's 2010 Equity Incentivy Plan, exercisable in four equal annual installments commencing 2/22/2013.
- (13) Represents additional shares issuable under an option dated 2/25/2011 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (14) The original grant of the underlying option was reported on Form 4 dated 2/28/2011.
- (15) Granted under the issuer's 2010 Equity Incentivy Plan, exercisable in four equal annual installments commencing 2/25/2012.
- (16) Represents additional shares issuable under an option dated 2/26/2010 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.

(17) The original grant of the underlying option was reported on Form 4 dated 3/1/2010.

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- (18) Granted under the issuer's 2004 Equity Incentivy Plan, exercisable in four equal annual installments commencing 2/26/2011.
- (19) Represents additional shares issuable under an option dated 2/27/2009 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (20) The original grant of the underlying option was reported on Form 4 dated 3/2/2009.
- (21) Granted under the issuer's 2004 Equity Incentivy Plan, exercisable in four equal annual installments commencing 2/27/2010.
- (22) Represents additional shares issuable under an option dated 2/28/2008 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (23) The original grant of the underlying option was reported on Form 4 dated 2/29/2008.
- (24) Granted under the issuer's 2004 Equity Incentivy Plan, exercisable in four equal annual installments commencing 2/28/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.