HINNENKAMP PAUL D

Form 4

August 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Expires:

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * HINNENKAMP PAUL D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ENTERGY CORP /DE/ [ETR]

(Middle)

(Check all applicable)

C/O ENTERGY CORPORATION

(First)

(Month/Day/Year) 08/02/2018

Director 10% Owner _X__ Officer (give title Other (specify below)

LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

EVP & Chief Operating Officer

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW ORLEANS, LA 70113

| (City) | (State) (| Zip) Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|--------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------------------------------------|-----------|-----------|------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|------------------|----------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 08/02/2018 | | M | 2,000 | A | \$ 77.53 | 27,068 (1) | D | |
| Common Stock | 08/02/2018 | | S(2) | 2,000 | D | \$ 83 | 25,068 | D | |
| Common Stock | 08/02/2018 | | M | 2,500 | A | \$ 77.1 | 27,568 | D | |
| Common Stock | 08/02/2018 | | S(2) | 2,500 | D | \$ 83 | 25,068 | D | |
| Common Stock | 08/03/2018 | | M | 3,000 | A | \$ 72.79 | 28,068 | D | |

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| Common Stock | 08/03/2018 | S(2) | 3,000 | D | \$ 84 | 25,068 | D |
|-----------------|------------|------|-------|---|---------|--------|---|
| Common Stock | 08/03/2018 | M | 3,000 | A | \$ 71.3 | 28,068 | D |
| Common Stock | 08/03/2018 | S(2) | 3,000 | D | \$ 84 | 25,068 | D |
| Common Stock | 08/03/2018 | M | 3,000 | A | \$ 64.6 | 28,068 | D |
| Common Stock | 08/03/2018 | S(2) | 3,000 | D | \$ 84 | 25,068 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Transaction Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|----------------------------------------|---------------------|----------------------------------------------------------|-----------------|---------------------------------------------------------------|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Option (Right to Buy) | \$ 77.53 | 08/02/2018 | | M | 2,000 | (3) | (3) | Common Stock | 2,000 | ; |
| Employee Stock Option (Right to Buy) | \$ 77.1 | 08/02/2018 | | M | 2,500 | <u>(4)</u> | <u>(4)</u> | Common Stock | 2,500 | ; |
| Employee Stock Option (Right to Buy) | \$ 72.79 | 08/03/2018 | | M | 3,000 | (5) | <u>(5)</u> | Common Stock | 3,000 | |

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| Employee Stock Option (Right to Buy) | \$ 71.3 | 08/03/2018 | М | 3,000 | <u>(6)</u> | <u>(6)</u> | Common Stock | 3,000 | |
|--------------------------------------------------|---------|------------|---|-------|------------|------------|-----------------|-------|--|
| Employee Stock Option (Right to | \$ 64.6 | 08/03/2018 | М | 3,000 | <u>(7)</u> | <u>(7)</u> | Common Stock | 3,000 | |

Reporting Owners

Relationships

Reporting Owner Name / Address

Director $\frac{10\%}{\text{Owner}}$

Officer

Other

HINNENKAMP PAUL D C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113

EVP & Chief Operating Officer

Signatures

/s/ Daniel T. Falstad by power of attorney

08/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 199 shares of Entergy common stock acquired through the Entergy Corporation dividend reinvestment plan and 79 shares acquired under the dividend reinvestment feature of Entergy Corporation's equity ownership plans.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 8, 2018.
- (3) The options vested in three equal annual installments on January 29, 2010, 2011 and 2012.
- (4) The options vested in three equal annual installments on January 28, 2011, 2012 and 2013.
- (5) The options vested in three equal annual installments on January 27, 2012, 2013 and 2014.
- (6) The options vested in three equal annual installments on January 26, 2013, 2014 and 2015.
- (7) The options vested in three equal annual installments on January 31, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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