Riley Richard C Form 4 October 17, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235

Number: 3235-0287 Expires: January 31,

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \*\* Riley Richard C

2. Issuer Name **and** Ticker or Trading Symbol

ENTERGY CORP /DE/ [ETR]

5. Relationship of Reporting Person(s) to Issuer

18800

(Last)

(City)

1(b).

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 10/13/2017

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner
\_\_\_ Officer (give title \_\_X\_\_ Other (specify below)

"Officer" Under Sec. 16 Rules

C/O ENTERGY CORPORATION LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

NEW ORLEANS, LA 70113

(City)	(State)	Table	e I - Non-D	erivative	Securi	ities Acq	quired, Disposed (	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acqu Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			d of	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
		(Month/Day/Year)	Code V	Amount	(A) or	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock	10/13/2017		M	2,500	A	\$ 71.3	8,575 <u>(1)</u>	D	
Common Stock	10/13/2017		S(2)	2,500	D	\$ 82 (3)	6,075	D	
Common Stock							3,975	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Derri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 71.3	10/13/2017		M	2,500	<u>(4)</u>	<u>(4)</u>	Common Stock	2,500	·

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Riley Richard C C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113

"Officer" Under Sec. 16

Rules

#### **Signatures**

/s/ Daniel T. Falstad by power of attorney

10/17/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 94 shares of Entergy common stock acquired through the Entergy Corporation dividend reinvestment plan and 47 shares acquired under the dividend reinvestment feature of Entergy Corporation's equity ownership plans.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 23, 2017.
- (3) The price reported in column 4 is a weighted average price. The shares were sold in multiple transactions ranging from \$82.00 to \$82.01. The reporting person undertakes to provide to Entergy, any security holder of Entergy or the staff of the Securities and Exchange

Reporting Owners 2

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Commission, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote.

(4) The options vested in three equal annual installments on January 26, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.