

ENTERGY CORP /DE/  
Form U-9C-3  
August 27, 2004

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM U-9C-3**

**QUARTERLY REPORT PURSUANT TO RULE 58**

**For the Quarterly Period Ended June 30, 2004**

ENTERGY CORPORATION

(a Delaware corporation)  
639 Loyola Avenue  
New Orleans, Louisiana 70113  
Telephone (504) 576-4000

---

(Name of registered holding company and address of principal executive offices)

ITEM 1 - ORGANIZATION CHART

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

| <u>Name of reporting company *</u>     | <u>Energy or gas related company</u> | <u>Date of organization</u> | <u>State of organization</u> | <u>Percentage of voting securities held</u> | <u>Nature of business</u>   |
|--|--------------------------------------|-----------------------------|------------------------------|---|---|
| Entergy Holdings, LLC (EHLLC)          | Energy related business              | November 3, 1997            | Delaware                     | 100%  | Holding company investing in miscellaneous energy related companies.          |
| Entergy Thermal, LLC (Entergy Thermal) | Energy related business              | January 28, 1999            | Delaware                     | 100%  | Production, sale, and distribution of thermal energy products.                |
| Entergy Power RS LLC (EPRS)            | Energy related business              | February 25, 1999           | Delaware                     | 100%  | Holding company investing in qualifying facilities.                           |
| RS Cogen, LLC (RS Cogen)               | Energy related business              | March 25, 1999              | Louisiana                    | 50%   | Ownership and operation of qualifying facilities.                             |
| TLG Services, Inc. (TLG)               | Energy related business              | December 30, 1986           | Connecticut                  | 100%  | Provide field services for the decommissioning of fossil and nuclear plants.  |
| Entergy Solutions Ltd. (ESLTD)         | Energy related business              | August 30, 2000             | Texas                        | 100%  | Provide competitive energy service and electric sales.                        |
| Entergy-Koch Trading, LP (EKTLP)       | Energy related business              | January 25, 2001            | Delaware                     | 50%   | Energy marketing and brokering.   |
| EWO GP LLC (EWOGP)                     | Energy related business              | October 30, 2000            | Delaware                     | 100%  | Holding company investing in energy commodity brokering and related services. |
| EWO Marketing, LP (EWOM)               | Energy related business              | October 30, 2000            | Delaware                     | 100%  | Energy marketing and brokering.   |

## ITEM 1 - ORGANIZATION CHART - Continued

| <u>Name of reporting company *</u>                 | <u>Energy or gas related company</u> | <u>Date of organization</u>  | <u>State of organization</u> | <u>Percentage of voting securities held</u> | <u>Nature of business</u>   |
|--|--------------------------------------|--|------------------------------|---|---|
| Entergy Solutions Select Ltd. (ESOLS)              | Energy related business              | March 2, 2001 (merged with Entergy Solutions Essentials Ltd. (ESSEN) on June 10, 2004 with ESOLS being the surviving entity) | Texas                        | 100%  | Price-to-Beat retail electric provider.   |
| Entergy Nuclear PFS, Inc. (ENPFS)                  | Energy related business              | February 15, 2002  | Delaware                     | 100%  | Holding company for investment in Private Fuel Storage, LLC.  |
| Private Fuel Storage LLC (Private Fuel Storage)    | Energy related business              | February 21, 2002  | Delaware                     | 9.9%  | Development of a spent nuclear fuel storage facility.   |
| Entergy Solutions Supply Ltd. (ESSLT)              | Energy related business              | August 30, 2000  | Texas                        | 100%  | Provider of wholesale energy and energy supply acquisition services to Entergy retail electric providers. |
| Entergy Nuclear Environmental Services, LLC (ENES) | Energy related business              | December 10, 2002  | Delaware                     | 100%  | Formed to provide environmental remediation services for nuclear facilities.                              |
| SOURCEONE, INC. (SOURCEONE)                        | Energy related business              | February 16, 2000  | Delaware                     | Non-voting securities only                  | Energy management services and energy commodity marketing services.                                       |
| EnerSea Transport, LLC (EnerSea)                   | Energy related business              | March 14, 2001   | Texas                        | ~ 1%  | Compressed natural gas marine transportation and storage system.  |

## ITEM 1 - ORGANIZATION CHART - Concluded

| <u>Name of reporting company</u> *             | <u>Energy or gas related company</u> | <u>Date of organization</u> | <u>State of organization</u> | <u>Percentage of voting securities held</u> | <u>Nature of business</u>  |
|--|--------------------------------------|-----------------------------|------------------------------|---|--|
| Entergy Solutions District GP, LLC (ESDGPL)    | Energy related business              | February 19, 1997           | Delaware                     | 100%  | General partner of ESDCL.  |
| Entergy Nuclear Services, LLC (ENSL) (new)     | Energy related business              | June 30, 2004               | Delaware                     | 100%  | Formed to provide management and operations services for nuclear reactors and environmental remediation services for nuclear facilities (directly or indirectly through project entities). |
| Entergy Solutions District Cooling, LP (ESDCL) | Energy related business              | December 19, 1997           | Delaware                     | 100%  | Management/servicing of thermal energy and related facilities; production, sale, and distribution of thermal energy products.  |

\* The system companies that directly or indirectly hold securities of each reporting company are set forth in Exhibit 1 to Entergy Corporation's Rule 24 Certificate for the three month period ended June 30, 2004, filed in File No. 70-9123.

## EHLLC Activities

During the quarterly period ended June 30, 2004, EHLLC acted as a holding company engaged in investment in energy related companies consistent with Rule 58.

## Entergy Thermal Activities

During the quarterly period ended June 30, 2004, Entergy Thermal owned and operated a district cooling and heating system in New Orleans, Louisiana.

#### EPRS Activities

During the quarterly period ended June 30, 2004, EPRS engaged in the ownership of a 50% interest in RS Cogen.

#### RS Cogen Activities

During the quarterly period ended June 30, 2004, RS Cogen owned and operated a 425 MW cogeneration facility in Louisiana, constituting a QF under PURPA.

#### TLG Activities

During the quarterly period ended June 30, 2004, TLG provided cost estimates and field services for the decommissioning of fossil and nuclear plants as well as technical expertise related to environmental testing and remediation.

#### ESLTD Activities

During the quarterly period ended June 30, 2004, ESLTD was engaged in the sale of power to Texas retail customers.

#### EKTLP Activities

During the quarterly period ended June 30, 2004, EKTLP engaged in the business of brokering and marketing of energy commodities, including but not limited to electricity, natural or manufactured gas, and other combustible fuels. These services were provided to electric utilities, power marketers, electric and municipal cooperatives, and various other customers.

#### EWOGP Activities

During the quarterly period ended June 30, 2004, EWOGP acted as a holding company for investing in energy commodity brokering and related services.

#### EWOM Activities

During the quarterly period ended June 30, 2004, EWOM engaged in the business of marketing the power produced by electric generation assets of affiliates and third-party customers as well as fulfilling the electric energy needs of third-party customers under long-term contracts. The third-party customers are principally electric utilities and municipal cooperatives.

#### ESOLS Activities

During the quarterly period ended June 30, 2004, ESOLS was in its formative stage. ESOLS was formed for the purpose of providing price-to-beat energy service and electric sales. In July 2004, however, the Public Utility Commission of Texas ordered an indefinite delay in retail open access in the service territory in which ESOLS would provide service. ESOLS and ESSEN merged, effective June 10, 2004, with ESOLS as the surviving entity.

#### ESSEN Activities

During the quarterly period ended June 30, 2004, ESSEN was in its formative stage. ESOLS and ESSEN merged effective June 10, 2004, with ESOLS as the surviving entity.

#### Entergy Thermal-UNO Activities

During the quarterly period ended June 30, 2004, Entergy Thermal-UNO was in its formative stage with the intent to engage in the production, sale, and distribution of thermal energy products at the University of New Orleans. Entergy Thermal-UNO was dissolved June 30, 2004.

#### ENPFS Activities

During the quarterly period ended June 30, 2004, ENPFS acted as a holding company for Entergy's 12.9% member interest in Private Fuel Storage (including a 9.9% Class A member interest with voting rights).

#### Private Fuel Storage Activities

During the quarterly period ended June 30, 2004, Private Fuel Storage continued efforts relating to obtaining a Nuclear Regulatory Commission license for a proposed spent nuclear fuel storage facility.

#### ESSLT Activities

During the quarterly period ended June 30, 2004, ESSLT provided energy supply acquisition services to Entergy retail electric providers.

#### ENES Activities

During the quarterly period ended June 30, 2004, ENES was in its formative stage and in the future intends to provide environmental remediation services for nuclear facilities.

#### SOURCEONE Activities

During the quarterly period ended June 30, 2004, SOURCEONE provided energy management services and energy commodity marketing services.

EnerSea Activities

During the quarterly period ended June 30, 2004, EnerSea marketed a compressed natural gas marine transportation and storage system called VOTRANS (Volume-Optimized Transport System). VOTRANS is an ocean-going gas delivery service system comprised of large diameter pipes contained within insulated structures integrated onto specially designed and constructed ships.

ESDCL Activities

During the quarterly period ended June 30, 2004, ESDCL owned and operated a district cooling system and provided management, operations, and maintenance services for other thermal energy and related systems.

ESDGPL Activities

During the quarterly period ended June 30, 2001, ESDGP was the general partner of ESDCL.

ENSL Activities

During the quarterly period ended June 30, 2004, ENS was in its formative stage and in the future intends to provide management and operations services for nuclear reactors and environmental remediation services for nuclear facilities (directly or indirectly through one or more project entities).

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

| <u>Company issuing security</u> | <u>Type of security issued</u> | <u>Principal amount of security</u> | <u>Issue or renewal</u> | <u>Cost of capital</u> | <u>Person to whom security was issued</u> | <u>Collateral given with security</u> | <u>Consideration received for each security</u> |
|---------------------------------|--------------------------------|-------------------------------------|-------------------------|------------------------|---|---------------------------------------|---|
| N/A                             | N/A                            | -0-                                 | N/A                     | -0-                    | N/A                                       | N/A                                   | -0-   |

| <u>Company contributing capital</u>  | <u>Company receiving capital</u> | <u>Amount of capital contribution</u> |
|--------------------------------------|----------------------------------|---------------------------------------|
| Energy Retail Holding Company (ERHC) | ESLTD                            | \$1,485,000                           |
| ERHC                                 | ESSLT                            |                                       |

|                                      |       |                 |
|--------------------------------------|-------|-----------------|
|                                      |       | \$<br>K,168,000 |
| Entergy Retail Texas, Inc.<br>(ERTI) | ESSLT | \$ 32,000       |
| (ERTI)                               | ESLTD | \$15,000        |
| Entergy Select LLC                   | ESOLS | \$K3,000        |
| Entergy PTB Holding Company          | ESOLS | \$K,267,000     |

ITEM 3 - ASSOCIATE TRANSACTIONS

Part I - Transactions Performed by Reporting Companies on Behalf of Associate Companies

| <u>Reporting company rendering services</u> | <u>Associate company receiving services</u> | <u>Types of services rendered</u>                        | <u>Direct costs charged</u> | <u>Indirect costs charged</u> | <u>Cost of capital</u> | <u>Total amount billed</u> |
|---|---|--|-----------------------------|-------------------------------|------------------------|----------------------------|
| EPRS  | RS Cogen                                    | Management, administrative, tax, and accounting services | \$N4,312 <sup>1</sup>       | \$ -0-                        | N/A                    | \$N4,312 <sup>1</sup>      |
| EKTLP                                       | EWOM  | Energy commodity marketing services                      | \$L74,853                   | \$ -0-                        | N/A                    | \$L74,853                  |
| EKTLP                                       | EWOM  | Professional services and back office                    | \$J06,053                   | \$ -0-                        | N/A                    | \$J06,053                  |



support

|       |                                    |   |              |        |     |              |
|-------|------------------------------------|---|--------------|--------|-----|--------------|
| ESSLT | ESLTD                              | Energy supply acquisition services            | \$ 1,309,482 | \$ -0- | N/A | \$ 1,309,482 |
| ESSLT | ESOLS                              | Same as above                                 | \$ L,915     | \$ -0- | N/A | \$ L,915     |
| EKTLP | Entergy-Koch Trading Ltd. (UK)     | Professional services and back office support | \$K1,561     | \$ -0- | N/A | \$K1,561     |
| EKTLP | Entergy-Koch Trading Ltd. (Canada) | Same as above                                 | \$M9,220     | \$ -0- | N/A | \$M9,220     |
| EKTLP | Entergy-Koch Trading Ltd. (Canada) | Energy commodity marketing services           | \$J3,614     | \$ -0- | N/A | \$J3,614     |

1These services were provided on a basis other than "at cost", pursuant to the Commission's December 20, 2002 order (HCAR No. 27626).

## Part I - Transactions Performed by Reporting Companies on Behalf of Associate Companies-Continued

| <u>Reporting company rendering services</u> | <u>Associate company receiving services</u> | <u>Types of services rendered</u>   | <u>Direct costs charged</u> | <u>Indirect costs charged</u> | <u>Cost of capital</u> | <u>Total amount billed</u> |
|---|---|-------------------------------------|-----------------------------|-------------------------------|------------------------|----------------------------|
| EWOM  | Entergy Power, Inc.                         | Energy commodity marketing services | \$J06,239                   | \$ -0-                        | N/A                    | \$J06,239                  |

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

|      |                              |               |           |        |     |           |
|------|------------------------------|---------------|-----------|--------|-----|-----------|
| EWOM | Entergy Power Warren Corp. I | Same as above | \$J06,239 | \$ -0- | N/A | \$J06,239 |
|------|------------------------------|---------------|-----------|--------|-----|-----------|

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies

| <u>Associate company rendering services</u> | <u>Reporting company receiving services</u> | <u>Types of services rendered</u>             | <u>Direct costs charged</u> | <u>Indirect costs charged</u> | <u>Cost of capital</u> | <u>Total amount billed</u> |
|---|---|---|-----------------------------|-------------------------------|------------------------|----------------------------|
| Entergy Enterprises, Inc. (EEI)             | EWOM  | Professional services and back office support | \$I19,742                   | \$-0-                         | N/A                    | \$I19,742                  |
| EEI   | EKTLP                                       | Same as above                                 | \$M1,200                    | \$-0-                         | N/A                    | \$M1,200                   |
| EEI   | EHLLC                                       | Same as above                                 | \$K2,798                    | \$-0-                         | N/A                    | \$K2,798                   |
| EEI   | Entergy Thermal                             | Same as above                                 | \$K71,188                   | \$-0-                         | N/A                    | \$K71,188                  |
| EEI   | ESLTD                                       | Same as above                                 | \$I,087,393                 | \$-0-                         | N/A                    | \$I,087,393                |
| EEI   | ESOLS                                       | Same as above                                 | \$I79,583                   | \$-0-                         | N/A                    | \$ 84,151                  |
| EEI   | TLG   | Same as above                                 | \$I16,190                   | \$ -0-                        | N/A                    | \$I16,190                  |
| EEI   | ESSLT                                       | Same as above                                 | \$I2,199                    | \$ -0-                        | N/A                    | \$I2,199                   |
| EEI   | ESDCL                                       | Same as above                                 | \$I46,290                   | \$ -0-                        | N/A                    | \$I46,290                  |
| EEI   | EPRS  | Same as above                                 | \$I15,102                   | \$ -0-                        | N/A                    | \$I15,102                  |

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies-Continued

| <u>Associate company rendering services</u>       | <u>Reporting company receiving services</u> | <u>Types of services rendered</u>  | <u>Direct costs charged</u> | <u>Indirect costs charged</u> | <u>Cost of capital</u> | <u>Total amount billed</u> |
|---|---|--|-----------------------------|-------------------------------|------------------------|----------------------------|
| Entergy Solutions Management Services LLC (ESLMS) | ESLTD                                       | Same as above  | \$3,359,406                 | \$0-                          | N/A                    | \$3,359,406                |
| ESLMS   | ESOLS                                       | Same as above  | \$4,124                     | \$0-                          | N/A                    | \$4,124                    |
| ESLMS   | Entergy Thermal                             | Same as above  | \$11,414                    | \$0-                          | N/A                    | \$11,414                   |
| Entergy-Koch LP                                   | EKTLP                                       | Same as above  | \$1,516,550                 | \$0-                          | N/A                    | \$1,516,550                |
| ENI   | TLG   | O&M Services relating to decommissioning of nuclear electric generating facilities | \$168,155                   | \$0-                          | N/A                    | \$168,155                  |
| Entergy Nuclear Operations, Inc.                  | TLG   | Same as above  | \$232,539                   | \$0                           | N/A                    | \$232,539                  |

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

\$17,251,752,000 Line 1

Total consolidated capitalization as of June 30, 2004

2,587,762,800 Line 2

Total capitalization multiplied by 15% (line 1 multiplied by 0.15)

Greater of \$50 million or line 2

2,587,762,800 Line 3

Total current aggregate investment:

|  |                    |
|--|--------------------|
| Energy management services (SOURCEONE)   | 996,084            |
|  | 685,976,300        |
| Energy marketing and brokering (ESLTD, EKTLT, EWOGP, EWOM, ESOLS, and ESSLT)   |                    |
| Energy related technical and similar services (EHLLC, Entergy Thermal, Entergy Thermal - UNO, TLG, ENES, ESDCL, and ESDGP)   | 82,484,542         |
| Development and ownership of QFs (EPRS and RS Cogen)   | 188,067,991        |
| Ownership, operation, and servicing of fuel procurement, transportation, handling, and storage facilities (ENPFS, Private Fuel Storage, and EnerSea)                     | 878,000            |
| Total current aggregate investment   | 958,402,917 Line 4 |
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4) | \$1,629,359,883    |

Line 5

ITEM 5 - OTHER INVESTMENTS

| Major line of<br>energy-related business | Other investment in last<br>U-9C-3 report | Other investment in this<br>U-9C-3 report | Reason for difference in<br>other investment |
|--|---|---|--|
| N/A                                      | -0-                                       | -0-                                       | N/A  |

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

- Financial Statements  
Filed under confidential treatment pursuant to Rule 104(b).

B. Exhibits

1. Certificate of filing of Form U-9C-3 for the 4th Quarter of 2003 with interested state commissions and municipal regulator.

SIGNATURE

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Entergy Corporation

By: /s/ Nathan E. Langston

Nathan E. Langston  
Senior Vice President and Chief Accounting Officer

Dated: August 26, 2004