Edgar Filing: SIMMONS HAROLD C - Form 4

SIMMONS Form 4 May 01, 20	HAROLD C												
FORM	ЛЛ									OMB AP	PROVAL		
	UNITED	STATES						ANGE CO	OMMISSION	OMB Number:	3235-0287		
Check t if no lor subject Section Form 4	to STATEN 16.	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									Expires:January 31, 2005Estimated averageburden hours per response0.5		
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17(a) of the H	Public U	Jtility	Hol	lding Co	npar	•	Act of 1934, 1935 or Section)				
(Print or Type	Responses)												
SIMMONS HAROLD C Sy									5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle)							(Check all applicable)				
(Mon				5/01/2013 -					_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) Chairman of the Board				
				Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting				
DALLAS,									Person		porting		
(City)	(State)	(Zip)	Tał	ole I - N	on-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemee Execution I any (Month/Da	Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8) (A) Securities Beneficially Owned Following Reported Transaction(s)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
Common				Code	V	Amount	or (D)	Price	(Instr. 3 and 4)				
stock, \$0.01 par value per share	05/01/2013			J <u>(1)</u>		10,000	A	\$ 15.9536	293,490	Ι	By Contran		
Common stock, \$0.01 par value per share									1,850,880	D			
Common stock,									314,033,148	Ι	by VHC		

\$0.01 par value per share			
Common stock, \$0.01 par value per share	1,100,541	Ι	by CDCT (4)
Common stock, \$0.01 par value per share	818,514	I	by Spouse <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Treporting Control Found (Found of Control	Director	10% Owner	Officer	Other			
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board				
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700		Х					

05/01/2013

Date

DALLAS, TX 75240

Signatures

A. Andrew R. Louis, Attorney-in-fact, for Harold C. Simmons

***Signature of Reporting PersonDateA. Andrew R. Louis, Secretary, for Contran Corporation05/01/2013

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These acquisitions involved nine purchases on the open market by Contran
 (1) Corporation at prices ranging from \$15.79 to \$16.04 per share. The reporting persons undertake to provide to the issuer, any security holder of the issuer or the U.S. Securities and Exchange Commission, upon request, the full information regarding these purchases.

- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.

Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock(5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

Remarks:

Exhibit Index Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.