

KOSS CORP
Form 3/A
July 29, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Koss Michael J Jr

(Last) (First) (Middle)

4129 N PORT WASHINGTON
AVENUE

(Street)

MILWAUKEE, Â WI Â 53212

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
KOSS CORP [KOSS]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP Marketing & Product

5. If Amendment, Date Original
Filed(Month/Day/Year)

07/28/2016

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,000

D

Â

Common Stock

10

I

ESOP

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (1)	07/25/2017	Common Stock	15,000	\$ 5.47	D	Â
Stock Option (right to buy)	Â (2)	07/24/2018	Common Stock	25,000	\$ 5.83	D	Â
Stock Option (right to buy)	Â (3)	07/23/2019	Common Stock	25,000	\$ 3.3	D	Â
Stock Option (right to buy)	Â (4)	07/29/2020	Common Stock	25,000	\$ 2.83	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Koss Michael J Jr 4129 N PORT WASHINGTON AVENUE MILWAUKEE, WI 53212	Â	Â	Â VP Marketing & Product	Â

Signatures

Michael J Koss
Jr 07/29/2016

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option vests in four equal annual installments beginning on 07/25/2013.

(2) This option vests in four equal annual installments beginning on 07/24/2014.

(3) This option vests in four equal annual installments beginning on 07/23/2015.

(4) This option vests in four equal annual installments beginning on 7/29/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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