O'BRIEN DEIRDRE
Form 3
February 07, 2019

# FORM 3

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
**Washington, D.C. 20549**

OMB APPROVAL

| | |
|---|---|
| OMB Number: | 3235-0104 |
| Expires: | January 31, 2005 |
| Estimated average burden hours per response... | 0.5 |

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â O'BRIEN DEIRDRE
(Last) (First) (Middle)

ONE APPLE PARK WAY
(Street)

CUPERTINO,Â CAÂ 95014
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
02/05/2019

3. Issuer Name **and** Ticker or Trading Symbol
APPLE INC [AAPL]

4. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
_____ Director
_____ 10% Owner
__X__ Officer (give title below)
_____ Other (specify below)
Senior Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

| 1.Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|
| Common Stock | 137,913 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|---|---|---|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | | | |

| | | | | Shares | | (I) (Instr. 5) | |
|---|---|---|---|---|---|---|---|
| Restricted Stock Unit | Â (1) | Â (1) | Common Stock | 14,668 | $ (2) | D | Â |
| Restricted Stock Unit | Â (3) | Â (3) | Common Stock | 27,629 | $ (2) | D | Â |
| Restricted Stock Unit | Â (4) | Â (4) | Common Stock | 33,441 | $ (2) | D | Â |
| Restricted Stock Unit | Â (5) | Â (5) | Common Stock | 33,225 | $ (2) | D | Â |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| O'BRIEN DEIRDRE<br>ONE APPLE PARK WAY<br>CUPERTINO,Â CAÂ 95014 | Â | Â | Â Senior Vice President | Â |

# Signatures

| /s/ Sam Whittington, Attorney-in-Fact for Deirdre O'Brien | 02/07/2019 |
|---|---|
| **Signature of Reporting Person | Date |

# Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** This restricted stock unit award was granted October 5, 2015 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2019, assuming continued employment through the applicable vesting date.

**(2)** Each restricted stock unit represents the right to receive, at settlement, one share of common stock.

**(3)** This restricted stock unit award was granted October 14, 2016 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2020, assuming continued employment through the applicable vesting date.

**(4)** This restricted stock unit award was granted October 15, 2017 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2021, assuming continued employment through the applicable vesting date.

**(5)** This restricted stock unit award was granted September 30, 2018 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2022, assuming continued employment through the applicable vesting date.

Â

**Remarks:**

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.