#### DIEBOLD NIXDORF, Inc

Form 4

February 10, 2017

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

Number: Expires:

January 31,

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Chapman Christopher A.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

DIEBOLD NIXDORF, Inc [DBD]

(Check all applicable)

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

02/08/2017

Director 10% Owner Other (specify X\_ Officer (give title

C/O DIEBOLD NIXDORF, INCORPORATED, 5995 MAYFAIR

**ROAD** 

below) below) SVP, Chief Financial Officer

6. Individual or Joint/Group Filing(Check

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Code V

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

NORTH CANTON, OH 44720

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4)

Price (D)

> 299 Ι

> > D

401(k) (1)

Common Stock Common

Shares

02/08/2017

Α

47,996 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: DIEBOLD NIXDORF, Inc - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option	\$ 47.27					02/14/2008	02/13/2017	Common Stock	1,
Non-Qualified Stock Option	\$ 24.79					02/11/2010	02/10/2019	Common Stock	1,
Non-Qualified Stock Option	\$ 27.88					02/11/2011	02/10/2020	Common Stock	2,
Non-Qualified Stock Option	\$ 32.67					02/10/2012	02/09/2021	Common Stock	7,
Non-Qualified Stock Option	\$ 34.89					02/08/2013	02/07/2022	Common Stock	9,
Non-Qualified Stock Option	\$ 29.87					02/06/2014	02/05/2023	Common Stock	7,
Non-Qualified Stock Option	\$ 34.13					02/12/2015	02/11/2024	Common Stock	10
Non-Qualified Stock Option	\$ 32.33					02/05/2016	02/04/2025	Common Shares	37
Non-Qualified Stock Option	\$ 27.39					02/03/2017	02/02/2026	Common Shares	55
Non-Qualified Stock Options	\$ 26.6	02/08/2017		A	75,658	02/08/2018	02/07/2027	Common Shares	75

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Chapman Christopher A. C/O DIEBOLD NIXDORF, INCORPORATED 5995 MAYFAIR ROAD NORTH CANTON, OH 44720

SVP, Chief Financial Officer

## **Signatures**

Mary M. Swann, Attorney-in-fact for Christopher A.
Chapman

02/10/2017

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of 401(k) shares owned as of most current statement; fractional shares omitted.
- (2) Award of restricted stock units; each restricted stock unit represents a contingent right to receive one share of Diebold Nixdorf, Incorporated common stock.
- (3) Number includes restricted stock units.
- (4) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 25% beginning one year from date of grant.
- (5) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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