**AUFOX JERRY M** 

Form 4 November 14, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* **AUFOX JERRY M** 

> (First) (Middle)

> > (Zip)

3400 NORTH WOLF ROAD

(Street)

(State)

FRANKLIN PARK, IL 60131

2. Issuer Name and Ticker or Trading Symbol

CASTLE A M & CO [CAS]

3. Date of Earliest Transaction (Month/Day/Year) 11/11/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below) Corp. Counsel & Secretary

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

0.5

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Number:

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response...

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		Table 1 - Non-Derivative Securities Acquired, Disposed bi, or Deficiently Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transactio	4. Securities Acquired n(A) or Disposed of			5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial		
(IIIsu. 3)		(Month/Day/Year)	(Instr. 8)	(D) (Instr. 3, 4 and 5)			Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
					(A) or	ъ.	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	11/11/2005	11/11/2005	Code V M	Amount 5,000	(D)	Price \$ 5.21	15,245	D			
Common Stock	11/11/2005	11/11/2005	M	5,000	A	\$ 6.39	20,245	D			
Common Stock	11/11/2005	11/11/2005	M	3,350	A	\$ 7.05	23,595	D			
Common Stock	11/11/2005	11/11/2005	M	5,000	A	\$ 10	28,595	D			
Common Stock	11/11/2005	11/11/2005	M	5,000	A	\$ 11	33,595	D			

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Common Stock	11/11/2	0005 11/11/20	005 M	5,000	A \$16	38,595	D					
Common Stock						548.8916	I	By PAYSOF Plan	•			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.  SEC 1474  (9-02)												
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Derivative Expiration Date any Code Securities (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Instr. 8)  Acquired (A) or  Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)						
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Options (Right to buy)	\$ 5.21	11/11/2005	11/11/2005	M	5,000	10/23/2004	10/23/2013	Common Stock	5,000			
Stock Options (Right to buy)	\$ 6.39	11/11/2005	11/11/2005	M	5,000	10/24/2003	10/24/2012	Common Stock	5,000			
Stock Options (Right to buy)	\$ 7.05	11/11/2005	11/11/2005	M	3,350	10/04/2003	10/04/2012	Common Stock	3,350			
Stock Options (Right to buy)	\$ 10	11/11/2005	11/11/2005	M	5,000	07/27/2001	07/27/2010	Common Stock	5,000			
Stock Options	\$ 11	11/11/2005	11/11/2005	M	5,000	07/26/2002	07/26/2011	Common	5,000			

(Right to buy)

Stock

Stock

Options (Right to buy) \$ 16 11/11/2005 11/11/2005 M 5,000 07/21/2000 07/21/2009 Common Stock 5,000

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

AUFOX JERRY M

3400 NORTH WOLF ROAD Corp. Counsel & Secretary

FRANKLIN PARK, IL 60131

**Signatures** 

Jerry M Aufox 11/11/2005

\*\*Signature of Date
Reporting Person

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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