CASTLE A M & CO

Form 4

March 11, 2002

| FORM 4 | | | | | | | | OM | IB APPROVAL | | | |
|--|--------|-------------------------------------|--|---|--|--|--|---|---|------|--|--|
| [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | | | | | | | | | | |
| | | | | | | | | | OMB Number: 3235-0287 Expires: December 31, | | | |
| | | Filed pursuant to Public Utility | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 | | | | | | | 2001 | | |
| Name and Address of Reporting Person* Nordin, John R. | | | Issuer Name and Ticker or Trading Symbol | | 4. Statement for (Month/Year) | | 6. Relatio Issuer | Relationship of Reporting Person(s) to ner | | | | |
| (Last) (First) (Middle) | | A. M. Castle & Co. CAS | | February 2002 | | | (Check all applicable) | | | | | |
| 3400 North Wolf Road | | | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | | 5. If Amendment, Date of Original (Month/Year) | | Owner X | | | | | |
| (Street) Franklin Park, IL 60131 | | | | | | | Officer/Other Description Vice President and CIO | | | | | |
| (City) (State) (Zip) | | | | | | | Filing (<u>X</u> Indivi | Individual or Joint/Group Filing (Check Applicable Line) ✓ Individual Filing ✓ Joint/Group Filing | | | | |
| Table I - Non-Derivativ | e Secu | rities Acquired, l | Disposed of, or I | Beneficially Owner | ed | | | | | | | |
| 1. Title of Security (Instr. 3) | • | | 3. Transaction Code and Voluntary Code (Instr. 8) | 4. Securities Acq Disposed (D) Of (Instr. 3, 4, and Amount | 15) I A/D | 5. Amount of Securities Beneficially Owned at End of Mont (Instr. 3 and | sh Fo D h or In | orm: irect(D) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common Stock | 02/01/ | 72002 | A | 4,000.0000 A \$8.6500 | | | | D (1) | | | | |
| Common Stock | 02/01/ | /2002 | P 288.6753 \$8.9404 | | | 4,288.6753 | | D | | | | |
| | | | | | | | | | | | | |

(over)

SEC 1474 (3-99)

Edgar Filing: CASTLE A M & CO - Form 4

Nordin, John R. - February 2002

Form 4 (continued)

| Table II | | | | | eneficially Owned |] | | | | |
|---|--|---|--|---|--|--|------------------------------|--|---|---|
| | (e.g., puts, | calls, warra | nts, options, c | onvertible se | curities) | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/ Day/ Year) | 4. Transaction Code and Voluntary (V) Code (Instr.8) | 5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5) | 6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | of Derivative Security | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr.4) | 10. Owner- ship Form of Deriv- ative Security: Direct (D) or Indirect (I) | 11. Nature of Indirect Beneficial Ownership (Instr.4) |
| | | | Code V | | (DE) (ED) 07/26/2002 | Common | | | | |
| 2001 Stock Option | \$11.00 | 07/26/2001 | V | (A) 20,000.00 | 07/26/2011 | Stock - 20,000.00 | | 20,000.00 | D | |
| 1999 Stock Option | \$16.00 | | | | 07/22/2000 | Common Stock - 14,000.00 | | 14,000.00 | D | |
| 2000 Stock Option | \$10.00 | | | | 07/27/2001 | Common Stock - 18,000.00 | | 18,000.00 | D | |
| Explanation of | of Responses | s: | | | | | | | | |

| ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. | | |
|--|---------------------------------------|---|
| See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | ** Signature of Reporting Person Date | |
| Note: File three copies of this Form, one of which must be manually signed. If space is | | |
| insufficient, See Instruction 6 for procedure. | John R. Nordin | |
| Potential persons who are to respond to the collection of information contained in this form | | p |

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Page 2 SEC 1474 (3-99)

Nordin, John R. - February 2002 Form 4 (continued) FOOTNOTE Descriptions for A. M. Castle & Co. CAS Form 4 - February 2002 John R. Nordin 3400 North Wolf Road Franklin Park, IL 60131 Explanation of responses:

Edgar Filing: CASTLE A M & CO - Form 4

(1) Restricted Stock which vests 33% each year. Significant risk of forfeiture.

Page 3