Edgar Filing: FISHER CHARLES J JR - Form 4

Form 4 July 31, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 or Form 5 or Form 6 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 10b) Crime of the Public Utility Holding Company Act of 1935 or Section 10b) Crime of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section FISHER CHARLES J JR Symbol AETHLON MEDICAL INC (AEMD) (Last) (Finst) (Middle) Solution BEDICAL, HOMIND/Day/Year) CO AETHLON MEDICAL, HOMIND/Day/Year) CO AETHLON MEDICAL, HOMIND/Day/Year) Company Act of Last of Earliest Transaction (City) (Sine) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned City (Sine) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned City (Month/Day/Year) City (Sine) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned City (Month/Day/Year) City (Sine) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned City (Month/Day/Year) City (Month/Day/Year) City (Sine) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner than One Reporting Person City (Month/Day/Year) City (Month/Day/Year) City (Sine) (Zip) City (Sine) (Zip) City (Sine) (Zip) City (City (City) (Ci	FISHER CH	IARLES J JR										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Check this how if the longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES CMMB APPROVAL OMB Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 10. Form 3 or May continue. Section 17(a) of the Public Utility Holding Company Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1940 Expires: Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Response) 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol AETHLON MEDICAL INC (AETMD) 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Form 4											
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Code V Amount (D) Price							or					
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.					Code V	Amount	(D) I	Price	(insure and i)			
	Reminder: Rep	oort on a separate lin	ne for each c	ass of sec	urities benet	ficially ow	ned dire	ectly of	r indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing:	FISHER	CHARLES	J JR -	Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	06/19/2018		А	26,799	(2)	(2)	Common Stock	26,799	

Reporting Owners

Reporting Owner Name / Ad	dress	Relationships						
	Director	10% Owner	Officer	Other				
FISHER CHARLES J JR C/O AETHLON MEDICAL 9635 GRANITE RIDGE DR SAN DIEGO, CA 92123	·	Х						
Signatures								
/s/ Charles J. Fisher, Jr.	07/31/2	018						
**Signature of Reporting Person	Date							
Explanation of Responses:								

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) $\frac{26,595 \text{ restricted stock units vested on } 12/31/2017 \text{ and } 26,596 \text{ restricted stock units vested on } 3/31/2018. 26,799 \text{ restricted stock units to } vest \text{ ratably on } 9/30/18, 12/31/18 \text{ and } 3/31/19.}$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.