#### Edgar Filing: TUMOLO ANNETTE - Form 3

#### **TUMOLO ANNETTE**

Form 3

September 27, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BIO RAD LABORATORIES INC [BIO, BIOB]  **TUMOLO ANNETTE** (Month/Day/Year) 09/18/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BIO-RAD (Check all applicable) LABORATORIES, INC., Â 1000 ALFRED NOBEL DRIVE 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) **Executive Vice President** \_X\_ Form filed by One Reporting Person HERCULES, CAÂ 94547 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 1. Title of Security 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Bio-Rad A Common Stock 871.381 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | ole and  3. Title and Amount of Securities Underlying Derivative Security |            | 5.<br>Ownership<br>Form of | 6. Nature of Indirect<br>Beneficial<br>Ownership |
|--|--|---|------------|----------------------------|--|
|  |  | (Instr. 4)  | Price of   | Derivative                 | (Instr. 5)                                       |
|  |  |   | Derivative | Security:                  |  |

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|  | Date<br>Exercisable | Expiration<br>Date | Title                           | Amount or<br>Number of<br>Shares | Security        | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|---------------------|--------------------|---------------------------------|----------------------------------|-----------------|--|---|
| Restricted Stock Units                       | (1)                 | (1)                | Bio-Rad<br>A<br>Common<br>Stock | 400                              | \$ 0 (6)        | D  | Â |
| Restricted Stock Units                       | (2)                 | (2)                | Bio-Rad<br>A<br>Common<br>Stock | 800                              | \$ 0 <u>(6)</u> | D  | Â |
| Restricted Stock Units                       | (3)                 | (3)                | Bio-Rad<br>A<br>Common<br>Stock | 1,800                            | \$ 0 (6)        | D  | Â |
| Restricted Stock Units                       | (4)                 | (4)                | Bio-Rad<br>A<br>Common<br>Stock | 2,400                            | \$ 0 (6)        | D  | Â |
| Restricted Stock Units                       | (5)                 | (5)                | Bio-Rad<br>A<br>Common<br>Stock | 3,000                            | \$ 0 (6)        | D  | Â |
| Non-Qualified Stock<br>Option (right to buy) | (7)                 | 09/07/2026         | Bio-Rad<br>A<br>Common<br>Stock | 3,000                            | \$ 159.32       | D  | Â |
| Non-Qualified Stock<br>Option (right to buy) | (8)                 | 09/05/2027         | Bio-Rad<br>A<br>Common<br>Stock | 3,000                            | \$ 215.98       | D  | Â |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                          |       |  |
|---|---------------|-----------|--------------------------|-------|--|
|   | Director      | 10% Owner | Officer                  | Other |  |
| TUMOLO ANNETTE<br>C/O BIO-RAD LABORATORIES, INC.<br>1000 ALFRED NOBEL DRIVE<br>HERCULES, CA 94547 | Â             | Â         | Executive Vice President | Â     |  |

# **Signatures**

| Annette Tumolo                     | 09/26/2017 |  |  |
|------------------------------------|------------|--|--|
| **Signature of<br>Reporting Person | Date       |  |  |

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in five equal annual installments beginning September 11, 2014.
- (2) The restricted stock units vest in five equal annual installments beginning September 10, 2015.
- (3) The restricted stock units vest in five equal annual installments beginning September 11, 2016.
- (4) The restricted stock units vest in five equal annual installments beginning September 7, 2017.
- (5) The restricted stock units vest in five equal annual installments beginning September 5, 2018.
- (6) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.
- (7) The options vest in five equal annual installments beginning September 7, 2017.
- (8) The options vest in five equal annual installments beginning September 5, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.