#### Edgar Filing: BIO RAD LABORATORIES INC - Form 4

**BIO RAD LABORATORIES INC** Form 4 September 13, 2016 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading GOETZ JOHN Issuer Symbol **BIO RAD LABORATORIES INC** (Check all applicable) [BIO, BIOB] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) C/O BIO-RAD LABORATORIES. 09/10/2016 EVP, Chief Operating Officer INC., 1000 ALFRED NOBEL DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HERCULES, CA 94547 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 3. 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of 6. Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price **Bio-Rad** A 1,200 \$0 Common 09/10/2016 Μ A 57,067.4199 D (1) Stock **Bio-Rad** A Common 09/10/2016 F 451 D 56,616.4199 D 157.71 Stock **Bio-Rad** A 1,900 \$0 Common 09/11/2016 Μ А 58,516,4199 D (1) Stock

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Bio-Rad A Common Stock	09/11/2016	F	915	D	\$ 157.71	57,601.4199	D
Bio-Rad A Common Stock	09/12/2016	М	300 <u>(1)</u>	А	\$ 0	57,901.4199	D
Bio-Rad A Common Stock	09/12/2016	F	157	D	\$ 159.32	57,744.4199	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(2)</u>	09/10/2016		М	1,200	(3)	(3)	Bio-Rad A Common Stock	1,200	\$
Restricted Stock Units	\$ 0 <u>(2)</u>	09/11/2016		М	300	<u>(4)</u>	<u>(4)</u>	Bio-Rad A Common Stock	300	\$
Restricted Stock Units	\$ 0 <u>(2)</u>	09/11/2016		М	1,600	(5)	(5)	Bio-Rad A Common Stock	1,600	<del>4</del>
Restricted Stock Units	\$ 0 <u>(2)</u>	09/12/2016		М	300	(6)	(6)	Bio-Rad A Common Stock	300	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GOETZ JOHN C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES, CA 94547			EVP, Chief Operating Officer				
Signatures							
/s/ Ronald W. Hutton, Attorney-in-fact	09/13/20	)16					
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class A common stock acquired on the vesting of restricted stock units.
- (2) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.
- (3) The restricted stock units vest in five equal annual installments beginning September 10, 2015.
- (4) The restricted stock units vest in five equal annual installments beginning September 11, 2014.
- (5) The restricted stock units vest in five equal annual installments beginning September 11, 2016.
- (6) The restricted stock units vest in five equal annual installments beginning September 12, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.