Edgar Filing: RBC Bearings INC - Form 4

RBC Bearings INC Form 4	С									
November 08, 201	.6									
FORM 4	UNITED STATES	SECURITIES A	ND EX	СНА	NGE C	OMMISSION		PROVAL		
Check this box		Washington,	D.C. 20	549			Number:	3235-0287		
if no longer subject to Section 16. Form 4 or	STATEMENT OF	NERSHIP OF	Expires: Estimated a burden hour	rs per						
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(c) Form 5 obligations Mage (Company Act of 1935) Section 17(a) of the Public Utility Holding Company Act of 1940 30(h) of the Investment Company Act of 1940 (Company Act of 1940) (Company Act of 1940)										
(Print or Type Responses)										
1. Name and Address Williams Thomas	2. Issuer Name and Symbol RBC Bearings IN			ng	5. Relationship of Reporting Person(s) to Issuer					
(Last) (F	First) (Middle)	3. Date of Earliest Tr	-	L		(Check	all applicable)		
15 LAUREL CRE	(Month/Day/Year) 11/08/2016	/Day/Year) /2016				Director 10% Owner X Officer (give title Other (specify below) below) General Counsel and Secretary				
(S	4. If Amendment, Da Filed(Month/Day/Year	-	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person					
BURLINGTON, CT 06013 — Form filed by More than One Reporting Person								porting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
	ansaction Date 2A. Deen hth/Day/Year) Execution any (Month/D	a Date, if Transactio Code ay/Year) (Instr. 8)		(A) or	d of (D) 5)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common 11/0 Stock	08/2016	Code V M	Amount 2,000	(D) A	Price \$ 37.66	6 097 (4) (8)	D			
Common 11/0 Stock	8/2016	М	2,000	А	\$ 44.6	8,097 (4) (8) (9)	D			
Common 11/0 Stock	8/2016	М	2,000	А	\$ 51.08	$10,097 \underline{\overset{(4)}{}}{^{(9)}}$	D			
Common 11/0 Stock	8/2016	М	1,500	А	\$ 64.15	11,597 <u>(4)</u> <u>(8)</u> <u>(9)</u>	D			
Common 11/0 Stock	8/2016	S	8,460	D	\$ 71.43	$3,137 \underline{\overset{(4)}{}} \underline{\overset{(8)}{}}$	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option to purchase Common Stock	\$ 37.66	11/08/2016		М		2,000	10/06/2012 <u>(1)</u>	10/06/2018	Common Stock	10,000
Option to Purchase Common Stock	\$ 44.6	11/08/2016		М		2,000	06/04/2013 <u>(2)</u>	06/04/2019	Common Stock	10,000
Option to Purchase Common Stock	\$ 51.08	11/08/2016		М		2,000	06/14/2014 <u>(3)</u>	06/14/2020	Common Stock	10,000
Option to Purchase Common Stock	\$ 64.15	11/08/2016		М		1,500	07/01/2015(5)	07/01/2021	Common Stock	7,500
Option to Purchase Common Stock	\$ 72.83						07/01/2016(6)	07/01/2022	Common Stock	7,500
Option to Purchase Common Stock	\$ 72.94						07/08/2017 <u>(7)</u>	07/08/2023	Common Stock	7,500

Reporting Owners

Reporting Owner Name / Addre	SS	Relationships						
	Director	10% Owner	Officer	Other				
Williams Thomas J 15 LAUREL CREST DRIVI BURLINGTON, CT 06013	E		General Counsel and Secretary					
Signatures								
Thomas J. Williams	11/08/2016							

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 10/06/2012, 1/5 vest on 10/06/2013, 1/5 vest on 10/06/2014, 1/5 vest on 10/06/2015 and 1/5 vest on 10/06/2016. Options expire 7 years from grant date.
- (2) Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 6/4/2013, 1/5 vest on 6/4/2014, 1/5 vest on 6/4/2015, 1/5 vest on 6/4/2016 and 1/5 vest on 6/4/2017. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 6/14/2014, 1/5 vest on 6/14/2015, 1/5 vest on 6/14/2016, 1/5 vest on 6/14/2017 and 1/5 vest on 6/14/2018. Options expire 7 years from grant date.

333 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7-1/2017. Unvested shares of Restricted Stock
(4) (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

- (5) Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 7-1/2015, 1/5 vest on 7-1/2016, 1/5 vest on 7-1/2017, 1/5 vest on 7-1/2018 and 1/5 vest on 7-1/2019. Options expire 7 years from grant date
- (6) Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 7-1/2016, 1/5 vest on 7-1/2017, 1/5 vest on 7-1/2018, 1/5 vest on 7-1/2019 and 1/5 vest on 7-1/2020. Options expire 7 years from grant date
- Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/5 vest on 7/8/2017, 1/5 vest on 7/8/2018, 1/5 vest on 7/8/2019, 1/5 vest on 7/8/2020 and 1/5 vest on 7/8/2021. Options expire 7 years from grant date.

(8) 1,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/3 vest on 7/8/2017, 1/3 vest on 7/8/2018, 1/3 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

667 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/2 vest on 7/1/2017, 1/2 vest on 7/1/2018. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time

(9) Vest on *mileority*, *me* vest on *mileority* on vested shares of resulted block (*i*) may be initial and in company at the link of the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.