#### Edgar Filing: GAZMARIAN MICHAEL C - Form 5

GAZMARIAN MICHAEL C Form 5 October 09, 2018

#### **OMB APPROVAL** OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer GAZMARIAN MICHAEL C Symbol INSTEEL INDUSTRIES INC [IIIN] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify 09/05/2018 below) below) 1373 BOGGS DR Vice President and CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting

#### MOUNT AIRY, NCÂ 27030

\_X\_ Form Filed by One Reporting Person \_\_\_\_ Form Filed by More than One Reporting Person

(check applicable line)

(City)	(State) (Z	ip) <b>Table</b>	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquirec Disposec (Instr. 3, Amount	d (A) of d of (D 4 and (A) or	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	09/05/2018	Â	G	3,000	D	\$0	30,472	D	Â		
Common Stock (Restricted Stock Units)	Â	Â	Â	Â	Â	Â	13,248	D	Â		

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 18.05	Â	Â	Â	Â	Â	(1)	08/17/2025	Common Stock	3,509
Stock Option (Right to Buy)	\$ 23.95	Â	Â	Â	Â	Â	( <u>1)</u>	02/11/2026	Common Stock	2,637
Stock Option (Right to Buy)	\$ 41.85	Â	Â	Â	Â	Â	( <u>1)</u>	08/13/2028	Common Stock	4,828
Stock Option (Right to Buy)	\$ 29.69	Â	Â	Â	Â	Â	( <u>1)</u>	02/13/2028	Common Stock	6,573
Stock Option (Right to Buy)	\$ 26.75	Â	Â	Â	Â	Â	( <u>1)</u>	08/07/2027	Common Stock	7,377
Stock Option (Right to Buy)	\$ 37.06	Â	Â	Â	Â	Â	( <u>1)</u>	02/07/2027	Common Stock	5,033
Stock Option (Right to Buy)	\$ 34.49	Â	Â	Â	Â	Â	( <u>1)</u>	08/11/2026	Common Stock	5,942

8. H Der Sec (Ins

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director 10% Owner		Officer	Other				
GAZMARIAN MICHAEL C 1373 BOGGS DR MOUNT AIRY, NC 27030	Â	Â	Vice President and CFO	Â				
Signatures								
James F. Petelle for Michael C. Gazmarian	10/09/2018							
**Signature of Reporting Person		I	Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest 1/3 annually beginning one year from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.