Alkermes plc. Form 8-K October 23, 2018 Table of Contents

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): October 23, 2018

ALKERMES PUBLIC LIMITED COMPANY

(Exact name of registrant as specified in its charter)

Ireland 001-35299 98-1007018 (State or other jurisdiction of incorporation) (Commission (IRS Employer File Number) Identification No.)

Connaught House, 1
Burlington Road
Dublin 4, Ireland
(Address of principal
executive offices)

(Zip Code)

(Registrant's telephone number, including area code): + 353-1-772-8000

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Edgar Filing: Alkermes plc. - Form 8-K

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).
Emerging growth company
If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Edgar Filing: Alkermes plc. - Form 8-K

Table of Contents

TABLE OF CONTENTS

Item 2.02 Results of Operations and Financial Condition.

Item 9.01 Financial Statements and Exhibits.

Ex-99.1 Press release issued by Alkermes plc dated October 23, 2018 announcing financial results for the three and nine months ended September 30, 2018 and updated financial expectations for the year ending December 31, 2018. Ex-99.2 Investor presentation to be displayed by Alkermes plc on October 23, 2018.

SIGNATURE

2

Table of Contents

Item 2.02 Results of Operations and Financial Condition.

On October 23, 2018, Alkermes plc (the "Company") announced financial results for the three and nine months ended September 30, 2018 and updated financial expectations for the year ending December 31, 2018. A copy of the related press release is furnished hereto as Exhibit 99.1 and a copy of the investor presentation to be displayed during the Company's conference call on October 23, 2018 discussing financial results for the three and nine months ended September 30, 2018 is furnished hereto as Exhibit 99.2. This information, including Exhibits 99.1 and 99.2, shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

EXHIBIT INDEX

Exhibit Description

No.

- 99.1 <u>Press release issued by Alkermes plc dated October 23, 2018 announcing financial results for the three and nine months ended September 30, 2018 and updated financial expectations for the year ending December 31, 2018.</u>
- 99.2 Investor presentation to be displayed by Alkermes plc on October 23, 2018.

3

Edgar Filing: Alkermes plc. - Form 8-K

Table of Contents

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALKERMES PLC

Date: October 23, 2018 By: /s/ James M. Frates James M. Frates

Senior Vice President and Chief Financial Officer (Principal Financial Officer)

4