Grinnell David L. Form 4 February 28, 2019

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

1. Name and Address of Reporting Person
Grinnell David L.

2. Issuer Name and Ticker or Trading Symbol

**BOSTON BEER CO INC [SAM]** 

# Issuer

(Last)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 02/28/2019

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director 10% Owner Other (specify Officer (give title below)

Vice President of Brewing

C/O THE BOSTON BEER COMPANY, INC., ONE DESIGN **CENTER PLACE, SUITE 850** 

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BOSTON, MA 02210

(City)

		1401	Table 1 Mon Derivative Securities required, Disposed 61, or Denominary Owned						
1.Title of	2. Transaction Date		3.	4. Securi		•	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Ownership	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
							Following	Indirect (I)	(Instr. 4)
					( )		Reported	(Instr. 4)	
					(A)		Transaction(s)		
			~		or	<b>~</b> .	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	· ·		
Class A	02/28/2019		$M_{(1)}^{(1)}$	500	A	\$	1,522 (2)	D	
Common	02/20/2019		IVI <u>(-)</u>	300	A	95.09	1,322 (-)	D	
Class A	02/28/2019		<b>c</b> (1)	500	D	\$	1 022 (2)	D	
Common	02/28/2019		S <u>(1)</u>	500	ע	312.5	$1,022 \frac{(2)}{}$	D	
Common						312.3			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Grinnell David L. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 95.09	02/28/2019		M <u>(1)</u>	500	01/01/2016(3)	12/31/2020	Class A Common	500

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Grinnell David L. C/O THE BOSTON BEER COMPANY, INC. ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210

Vice President of Brewing

## **Signatures**

Michael G. Andrews under POA for the benefit of David L. Grinnell

02/28/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 12, 2018.
- (2) The shares reported include 827 shares of restricted stock subject to vesting conditions.
- (3) The option vests in five annual installments: the first on January 1, 2016, and the final vesting on January 1, 2020, provided that the Reporting Person remains employed by the Issuer on the applicable vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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