Edgar Filing: Burwick David A - Form 4

Durment als David A

Form 4										
FORN Check th if no long subject to Section 1 Form 4 of Form 5 obligatio may cont	obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								PPROVAL 3235-0287 January 31, 2005 average irs per 0.5	
(Print or Type I	Responses)									
1. Name and A Burwick Da	Symbo	uer Name and l FON BEER			-	5. Relationship of Reporting Person(s) to Issuer				
(Last) 1164 UPPE ROAD	(First) (M R HAPPY VALLI	liddle) 3. Date (Montl	e of Earliest Tr n/Day/Year) /2018		-	-	(Check all applicable) <u>Director</u> Officer (give title 0ther (specify below) President and CEO			
LAFAYET	(Street) TE, CA 94549		mendment, Da Ionth/Day/Year	-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State) (Zip) Ta	able I - Non-D	Derivative S	ecurit	ties Acc	uired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	n Date, if Transaction(A) or Dispose Code (D) Day/Year) (Instr. 8) (Instr. 3, 4 and (A			osed of Securities Beneficially and 5) Owned Following (A) Transaction(s) or (Instr. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common	04/30/2018		Code V A	Amount 33,798	(D) A	Price \$ 0	34,892	D		
Class A Common	04/30/2018		А	26,166	А	\$ 0	61,058	D		
Class A Common	04/30/2018		А	4,361	А	\$ 0	65,419 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	ative Conversion (Month/Day/Year) Exe ity or Exercise any		Execution Date any	cution Date, if Transacti			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				C	Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners												
_				Relationships								
Кере	orting Owner	Name / Address	Director	10% O	Owner	Officer		Other				
1164 UPF	Burwick David A 1164 UPPER HAPPY VALLEY ROAD LAFAYETTE, CA 94549				President and CEO							
Signa	tures											
Michael G. Andrews under POA for the benefit of David A. Burwick					A.		05/02/20	18				
<u>**</u> Signature of Reporting Person							Date					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On the Transaction Date, the Issuer granted certain restricted stock awards to the Reporting Person pursuant to his offer letter, as previously disclosed in detail in a Current Report on Form 8-K dated February 13, 2018. The grants were approved by the sole Class B

(1) Stockholder, the Board of Directors, and the Compensation Committee. The shares reported include 64,325 shares of restricted stock subject to vesting conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.