Edgar Filing: STEINBERG JOSEPH S - Form 4

| STEINBER Form 4 | G JOSEPH S | | | | | | | | | | | | |
|--|---|---|-----------|-------------------------------------|-----------------------|----------|--------------------|------------------------|---|---------------------|--------------------------|--|--|
| July 18, 201 | 8 | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | r | PPROVAL | | | | |
| Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | | | | |
| Check th if no lon | | | | | | CT L | | | Expires: | January 31, 2005 | | | |
| subject to | ' CHAN | GES IN SECUI | | | CIA | LOW | NERSHIP OF | Estimated | average | | | | |
| Section 16. Form 4 or | | | | SECONTIES | | | | | | burden hou response | • | | |
| | Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | | | | |
| may con <i>See</i> Instr | unue. | | of the In | • | | • | | | | 11 | | | |
| 1(b). | | | | | | | | | | | | | |
| (Print or Type Responses) | | | | | | | | | | | | | |
| STEINBERG JOSEPH S Symbol | | | | r Name and Ticker or Trading | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | | n Wine C | Gro | oup, Ltd | [CW | /GL] | | | | | |
| | | | | Earliest T | Гra | nsaction | - | _ | (Check all applicable) | | | | |
| C/O CRIM | SON WINF GROUI |) | (Month/D | - | | | | | X_ Director 10% Owner Officer (give title Other (specify | | | | |
| C/O CRIMSON WINE GROUP 07/17/2018Officer (give tit LTD., 2700 NAPA VALLEY CORPORATE DRIVE | | | | | | below) | | | | | | | |
| | (Street) | | 4. If Ame | ndment, D | ndment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| Filed(Mor | | | | th/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| NAPA, CA 94558 | | | | | | | | | Form filed by More than One Reporting Person | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | |
| 1.Title of Security | 2. Transaction Date 2 (Month/Day/Year) F | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Code (Instr. 3, 4 and 5) | | | | | 5. Amount of Securities | 6. Ownership | 7. Nature of Indirect | | |
| (Instr. 3) | a | | | | | | | | Beneficially H | Form: Direct | Beneficial Ownership | | |
| | ay/rear) | (Instr. 8) |) | | | | Owned Following | (D) or Indirect (I) | (Instr. 4) | | | | |
| | | | | | | | (A) | | Reported Transaction(s) | (Instr. 4) | | | |
| | | | | Code V | V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common | 07/17/2018 | | | P (1) | | 2,400 | А | \$ 9.25 | 451,766 | D | | | |
| Stock | 0//1//2010 | | | | | 2,100 | 11 | (2) | -151,700 | D | | | |
| Common Stock | 07/18/2018 | | | P <u>(1)</u> | | 17,600 | A | \$ 9.31 | 469,366 | D | | | |
| | | | | | | | | | | | By Paul S. | | |
| Common Stock | | | | | | | | | 107,862 | Ι | Steinberg 2004 Trust | | |
| Common Stock | | | | | | | | | 107,860 | Ι | By Sarah A. | | |
| | | | | | | | | | | | | | |

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| | | | Steinberg 2004 Trust |
|-----------------|---------|---|--|
| Common Stock | 107,860 | I | By Rachel C. Steinberg 2004 Trust |
| Common Stock | 57,403 | I | By JSS 2010 Family Trust |
| Common Stock | 14,400 | Ι | By Spouse |
| Common Stock | 720 | I | By Daughter |
| Common Stock | 200,000 | Ι | By JSS Holding Corp - 1 |
| Common Stock | 70,000 | Ι | By JSS Holding Corp - 2 |
| Common Stock | 200,000 | Ι | By JSS Holding Corp - 3 |
| Common Stock | 200,000 | Ι | By JSS Holding Corp - 4 |
| Common Stock | 200,000 | Ι | By JSS Holding Corp - 5 |
| Common Stock | 200,000 | Ι | By JSS Holding Corp - 6 |
| Common Stock | 200,000 | Ι | By JSS Holding Corp - 7 |
| Common Stock | 114,806 | I | By Steinberg Holding Inc - D |
| Common Stock | 114,806 | I | By Steinberg Holding Inc - E |
| | 114,806 | Ι | |

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| Common Stock Common Stock | | | | 114,806 | 5 I | | Hold Inc - By | · F nberg ling | | | |
|--|---|-----------------------------|---|--|------------------------|---|--|---|--|--|--|
| Reminder: Report on a separate line for each clas | ss of securities benef | Persor inform require | ns who re ation con ed to resp ys a curre | or indirectly. spond to the tained in thi ond unless ently valid O | s form are the form | not | SEC 14 (9-(| | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
| Security or Exercise | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | 6. Date Exercisable and etionNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr | | |
| | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Reporting Owners | | Code v | (A) (D) | | | | Shares | | | | |
| Reporting Owner Name / Address | | Rela | tionships | | | | | | | | |
| STEINBERG JOSEPH S C/O CRIMSON WINE GROUP LTD. 2700 NAPA VALLEY CORPORATE I NAPA, CA 94558 | Directo DRIVE X | r 10% Ov | wner Off | ficer Other | | | | | | | |
| Signatures | | | | | | | | | | | |
| /s/ Patrick M. DeLong as Attorney-in-Fact <u>**</u> Signature of Reporting Person | 07/18/20 Date |)18 | | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to a Rule 10b5-1 plan entered into by the reporting person.

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from

(2) \$9.23 to \$9.25, inclusive. The reporting person undertakes to provide to Crimson Wine Group, Ltd., any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.