### Edgar Filing: Ahuja Deepak - Form 4

Ahuja Deepak Form 4       Ottober 23, 2018       OMB APPROVAL         FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB APPROVAL         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       State average subject of 1935 or Section 1940         Check this box is provided by the securities of the securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       State average subject of 1935 or Section 1940         Check this box is provided by the securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 tot)       State average subject or 1940         Check the securities Exchange Act of 1940 tot)       State average subject or 1940       State average subject or 1940									
1. Name and Address of Reporting Person <u>*</u> Ahuja Deepak			2. Issuer Name <b>and</b> Ticker or Trading Symbol Tesla, Inc. [TSLA]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			3. Date of Earliest Transaction (Month/Day/Year) 10/22/2018			Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
PALO ALTO	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting</li> </ul>			
(City)	(State) (	Zip)	fable I - Non-Deri	vative Securi	ities Aca	Person uired, Disposed of	. or Beneficial	lv Owned	
	2. Transaction Date Month/Day/Year)		3. 4. if Transaction(A Code (I	A) or Disposed (A) or Disposed (A) or (A) (A) or	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common 1 Stock 1	10/22/2018		M <u>(1)</u> 5	,035 A	\$ 31.49	28,515	D		
Common Stock						38,989	Ι	Ahuja Family Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option (right to buy)	\$ 31.49	10/22/2018		М	5,035	(2)	02/13/2022	Common Stock	5,035	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Ahuja Deepak C/O TESLA, INC 3500 DEER CREEK ROAD PALO ALTO, CA 94304			Chief Financial Officer			
Signaturas						

## Signatures

By: Aaron Beckman, Power of Attorney For: Deepak Ahuja	10/23/2018	
**Signature of Reporting Person	Date	

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transactions reported on this Form 4 represent a cash exercise of options by the reporting person for 5,035 shares of the Issuer's(1) Common stock and are exempt from Section 16(b) by reason of Rule 16b-3. No sales of Issuer stock have occurred as part of these transactions.

1/48th of the shares subject to the option became vested and exercisable on March 13, 2012 and 1/48th of the shares subject to the option

(2) became vested and exercisable each month thereafter such that all shares subject to the Option became fully vested and exercisable by February 13, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.