#### **TESLA MOTORS INC**

Form 4

September 04, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ahuja Deepak

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Street)

(Middle)

TESLA MOTORS INC [TSLA]

Director

(Check all applicable)

3500 DEER CREEK ROAD

3. Date of Earliest Transaction (Month/Day/Year)

09/02/2014

X\_ Officer (give title

10% Owner \_ Other (specify

below)

below) **CFO** 

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### PALO ALTO, CA 94304

(City)	(State)	(Zip) Tab	le I - Non-	Derivativo	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Fransaction Date 2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	09/02/2014		Code V M	Amount 810	(D)	Price \$ 2.7	(Instr. 3 and 4) 3,962 (1)	D	
Stock	07/02/2014		171	010	Λ	Ψ 2.1	3,702 <u>~</u>	D	
Common Stock	09/02/2014		S	810	D	\$ 284.105 (2)	3,152	D	
Common Stock	09/02/2014		M	1,755	A	\$ 2.7	4,907	D	
Common Stock	09/02/2014		S	1,755	D	\$ 283.321 (3)	3,152	D	
	09/02/2014		M	500	A	\$ 2.7	3,652	D	

Common Stock							
Common Stock	09/02/2014	S	500	D	\$ 282.168 (4)	3,152	D
Common Stock	09/02/2014	M	500	A	\$ 2.7	3,652	D
Common Stock	09/02/2014	S	500	D	\$ 281.382 (5)	3,152	D
Common Stock	09/02/2014	M	400	A	\$ 2.7	3,552	D
Common Stock	09/02/2014	S	400	D	\$ 279.428 <u>(6)</u>	3,152	D
Common Stock	09/02/2014	M	200	A	\$ 2.7	3,352	D
Common Stock	09/02/2014	S	200	D	\$ 277.74 (7)	3,152	D
Common Stock	09/02/2014	M	735	A	\$ 2.7	3,887	D
Common Stock	09/02/2014	S	735	D	\$ 276.851 (8)	3,152	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

S

Common

Common

Stock

Stock

09/02/2014

09/02/2014

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

3,252

3,152

D

D

\$ 2.7

\$ 274.7

(Instr. 3, 4,

SEC 1474 (9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$ 

100

100

D

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	of Derivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired		
	Derivative				(A) or		
	Security				Disposed of		
					(D)		

## Edgar Filing: TESLA MOTORS INC - Form 4

and 5)

			Code V (A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	810	05/13/2009(11)	04/12/2016	Common Stock	810
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	1,755	05/13/2009(11)	04/12/2016	Common Stock	1,75
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	500	05/13/2009(11)	04/12/2016	Common Stock	500
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M(10)	500	05/13/2009(11)	04/12/2016	Common Stock	500
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	400	05/13/2009(11)	04/12/2016	Common Stock	400
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	200	05/13/2009(11)	04/12/2016	Common Stock	200
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M <u>(10)</u>	735	05/13/2009(11)	04/12/2016	Common Stock	735
Incentive Stock Option (right to buy)	\$ 2.7	09/02/2014	M(10)	100	05/13/2009(11)	04/12/2016	Common Stock	100

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ahuja Deepak

3500 DEER CREEK ROAD CFO

PALO ALTO, CA 94304

## **Signatures**

/s/ Deepak Ahuja 09/04/2014

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned includes 1 share acquired under the Tesla Motors, Inc. Employee Stock Purchase Plan on August 29, 2014.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$283.91 to \$284.9099, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$282.90 to \$283.8999, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$281.87 to \$282.8699, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$280.85 to \$281.8499, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$278.82 to \$279.8199, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$277.56 to \$278.5599, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.42 to \$277.4199, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.70 to \$275.6999, inclusive. The reporting person undertakes to provide Tesla Motors, Inc., any security holder of Tesla Motors, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Reporting Owners 4

#### Edgar Filing: TESLA MOTORS INC - Form 4

- (10) The stock option exercise and sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 3, 2014.
- (11) 1/4th of the shares subject to the option first became vested and exercisable on the date listed and 1/48th of the shares subject to the option became vested and exercisable each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.