Komin Robert Patrick Jr. Form 4 April 17, 2018

# FORM 4

### **OMB APPROVAL**

response...

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average burden hours per

0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Komin Robert Patrick Jr. | 2. Issuer Name <b>and</b> Ticker or Trading Symbol   | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|---|--|---|--|--|
| (Last) (First) (Middle)   | Sunrun Inc. [RUN] 3. Date of Earliest Transaction    | (Check all applicable)  |  |  |
| 595 MARKET STREET, 29TH<br>FLOOR                                    | (Month/Day/Year)<br>04/13/2018                       | Director 10% Owner X Officer (give title Other (specifically) below)  Chief Financial Officer     |  |  |
| (Street)  | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |
| SAN FRANCISCO, CA 94105   |  | Form filed by More than One Reporting Person  |  |  |

| (City)                               | (State)                                 | (Zip) <b>Tabl</b>   | e I - Non-D                            | erivative Se                                  | ecuriti | es Acqı | uired, Disposed o  | of, or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|---|---------|---------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securitie<br>on(A) or Disp<br>(Instr. 3, 4 | osed c  | of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 04/13/2018                              |   | A                                      | 100,000<br>(1)                                | A       | \$0     | 647,482  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Komin Robert Patrick Jr. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Securi<br>(Instr. 3 and 4) |                    |
|---|---|---|---|--|---|--|--------------------|--|--------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable   | Expiration<br>Date | Title  | Amo<br>Nun<br>Shar |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 8.5  | 04/13/2018                              |   | A                                      | 100,000   | 04/13/2019(2)  | 04/12/2028         | Common<br>Stock  | 100                |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Komin Robert Patrick Jr. 595 MARKET STREET, 29TH FLOOR SAN FRANCISCO, CA 94105

Chief Financial Officer

### **Signatures**

/s/ Jay Maloney, Attorney-in-Fact 04/17/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share is represented by a Restricted Stock Unit ("RSU"). 25% of the RSUs will vest on April 13, 2019, and the remaining RSUs will vest quarterly over the following three years, subject to the Reporting Person's continued service through each vesting date.
- (2) 25% of the shares subject to the option will vest on April 13, 2019, and 1/48 of the shares will vest monthly thereafter, subject to the Reporting Person's continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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