Edgar Filing: CUTERA INC - Form 4

| CUTERA INC Form 4 March 03, 2017UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940 | | | | | | | | OMB Number: Expires: Estimated burden hou response | urs per | |
|---|--|--|---------------------------|---|-----------------|---|--|---|--|--|
| (Print or Type Respo | onses) | | | | | | | | | |
| 1. Name and Addres GOLLNICK DA | Symbol | uer Name and Ticker or Trading I ERA INC [CUTR] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| C/O CUTERA, | (Last) (First) (Middle) 3. Date of Ea (Month/Day /O CUTERA, INC., 3240 03/03/201 AYSHORE BLVD | | | | | | Officer (give title Other (specify below) below) | | | |
| BRISBANE, CA | 4. If Amendmen Filed(Month/Day | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | | | | | | | | | |
| | any | emed 3. on Date, if Tran Code /Day/Year) (Inst | nsaction e (tr. 8) | 4. Securitie (A) or Disp (Instr. 3, 4 Amount | es Aco posed | quired of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Common 03/ Stock | /03/2017 | S | | 25,000 | D | \$ 20.4 (1) | 73,340 | D | | |
| Common Stock | | | | | | | 9,892 | I | Shares held in Reporting Person's son's trust. | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title Amour Underl Securit (Instr. 1 | nt of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|---------------------|--------------------|---|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addr | .ecc | Relationships | | | | | | |
|--|------------|---------------|---------|-------|--|--|--|--|
| Reporting Owner Func / Func | Director | 10% Owner | Officer | Other | | | | |
| GOLLNICK DAVID A C/O CUTERA, INC. 3240 BAYSHORE BLVD BRISBANE, CA 94005 | х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ David A. Gollnick | 03/03/2017 | | | | | | | |
| **Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Stock prices ranged from \$20.33 to \$20.55, with \$20.40 being the average. The reporting person undertakes to provide to Cutera, Inc.,
 (1) any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.