#### PLUMAS BANCORP

Form 4

October 28, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue.

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction

1(b).

(Print or Type Responses)

RYBACK ANDREW J		Symbol PLUM	Symbol PLUMAS BANCORP [PLBC]				Issuer (Check all applicable)			
(Last)	(First) (N	fiddle) 3. Date o	f Earliest Transa	action		(Circ	ск ин иррпсион	~)		
35 S. LINDAN AVE		`	(Month/Day/Year) 10/28/2016			X Director 10% Owner X Officer (give title Other (specify below) President and CEO				
	(Street)	4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
QUINCY, O	CA 95971	Filed(Mo	nth/Day/Year)	Ü		Applicable Line) _X_ Form filed by Form filed by Person	One Reporting Pe More than One Re			
(City)	(State)	(Zip) Tabl	le I - Non-Deriv	vative Secur	ities Acc	uired, Disposed o	of, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A Code (D (Instr. 8) (In	-	d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/28/2016		M 1,	,200 A	\$ 6.32	18,800	D			
Common Stock						24,312 (2)	I	401k		
Common Stock						1,200	I	ITF minor children		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.										

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(9-02)

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#### number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	vative Expiration Date les (Month/Day/Year) ed ed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to buy common stock	\$ 6.32	10/28/2016		M	1,200	<u>(1)</u>	04/28/2022	Common Stock	1,200	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director 10% Owne		Officer	Other			
RYBACK ANDREW J 35 S. LINDAN AVE QUINCY, CA 95971	X		President and CEO				

## **Signatures**

/s/ Andrew J.

Ryback

\*\*Signature of Reporting Person

10/28/2016

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in four (4) equal annual installments beginning April 28, 2015.
- (2) An increase of 1,318 from previous report on Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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