### Edgar Filing: Yuma Energy, Inc. - Form 4

Yuma Energy	y, Inc.										
Form 4											
May 20, 2015	5										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB AF	IB APPROVAL		
	• • UNITED	) STATES					NGE C	COMMISSION	OMB	3235-0287	
Check thi	s box		Was	shington,	D.C. 205	549			Number:	umber:	
if no long	or			CEC DU		CIAI			Expires:	January 31, 2005	
subject to	SIAIE	MENT O	F CHAN	GES IN BENEFICIAL OWNERSHIP OF				Estimated average			
Section 16.				SECURITIES					burden hours per		
Form 4 or Form 5		report to	Section 1	6(a) of the	Soouriti	oc Ex	cohona	e Act of 1934,	response	0.5	
obligation	<b>1</b> 0						•	E 1935 or Section	n		
may conti	inue.			vestment	•				11		
See Instru 1(b).	iction	50(II)	or the m	vestment	company	1100	01 174	10			
1(0).											
(Print or Type R	Responses)										
	ddress of Reporting	g Person <sup>*</sup>	2. Issuer	Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to			
Sprunger Kirk F. Symbol								Issuer			
			Yuma E	Energy, Inc. [YUMA]				(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			(enec	it un applicable	)	
			(Month/D	Day/Year)				Director 10% Owner			
1177 WEST LOOP SOUTH, SUITE 05/18/20			/2015				XOfficer (give titleOther (specify below)				
1825								· ·	Financial Office	er	
			nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check				
							Applicable Line) _X_ Form filed by One Reporting Person				
HOUSTON,	, TX 77027							Form filed by N Person	Iore than One Re	porting	
(City)	(State)	(Zip)									
(City)	(blate)	(Zip)	Tabl	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction Da	1					5. Amount of	6. Ownership			
Security (Instr. 3)	(Month/Day/Year	n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					Securities Beneficially	Form: Direct (D) or	Indirect Beneficial		
(Instr. 5)		any (Month/I	Day/Year)	(Instr. 8)				•	Indirect (I)	Ownership	
						Following	(Instr. 4)				
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(Instr. 5 and 4)			
Common	0			-	66,263	-	\$		-		
Stock	05/18/2015			D	( <u>1</u> )	D	0.92	287,413	D		
							(2)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

### **Reporting Owners**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Addr	ess	Relationships							
	Director	10% Owner	Officer	Other					
Sprunger Kirk F. 1177 WEST LOOP SOUTH SUITE 1825 HOUSTON, TX 77027	I		Chief Financial Officer						
Signatures									
/s/ Kirk F. Sprunger	05/20/2015								
<u>**</u> Signature of	Date								

\*\*Signature of **Reporting Person** 

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- Shares of common stock repurchased by the Registrant to cover tax withholding obligations of the reporting person arising from the (1) vesting of restricted stock awards on April 1, 2015 granted under the Yuma Energy, Inc. 2011 Stock Option Plan.
- The repurchase price of \$0.92 paid by the Registrant was the closing price on May 18, 2015, the date the repurchase was approved by the (2) board of directors of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.