Edwards Jeffrey S Form 4 January 05, 2007

### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

# Check this box if no longer subject to Section 16. Form 4 or Section 2 or Section 2 or SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person ** Edwards Jeffrey S	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)	JOHNSON CONTROLS INC [JCI] 3. Date of Earliest Transaction			
49200 HALYARD DRIVE, P.O. BOX 8010	(Month/Day/Year) 01/03/2007	Director 10% Owner X Officer (give title Other (specifically) below)  Vice President		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person		
PLYMOUTH, MI 48170		Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Adomor Disposed of (Instr. 3, 4 and (A) or Amount (D)	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/03/2007		A	1.142 A	\$ 87.6017	27,446.231 (2) (1)	D	
Common Stock						2,853.572 <u>(3)</u>	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

#### Edgar Filing: Edwards Jeffrey S - Form 4

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units - Excess Benefit Plan	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	398.498
Phantom Stock Units - Annual Incentive Plan	<u>(4)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock	3,959.45
Phantom Stock Units - Restricted Stock Plan	<u>(9)</u>					<u>(9)</u>	<u>(9)</u>	Common Stock	466.40′
Stock Option	\$ 52.55					11/19/2005(11)	11/19/2013	Common Stock	20,000
Stock Option	\$ 61.69					11/17/2006(11)	11/17/2014	Common Stock	30,000
Stock Option	\$ 67.685					11/16/2007(11)	11/16/2015	Common Stock	50,000
Stock Option	\$ 71.895					10/02/2008(11)	10/02/2016	Common Stock	20,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
			W. D :1 4			

Vice President

Reporting Owners 2

Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170

#### **Signatures**

Arlene D. Gumm, Attorney-in-Fact for Jeffrey S. Edwards

01/05/2007

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2.297 shares acquired through the reinvestment of dividends on January 3, 2007, at a price of \$87.6017 per share.
- (2) Includes 20,000 shares of restricted stock grants. 12,500 vest on 1/3/2008 and 7,500 vest on 1/3/2010.
- The number of underlying securities is based on the stock fund balance on January 4, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 4, 2007, stock fund price of \$85.89 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (5) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's termination of employment.
- (6) Includes 1.525 phantom stock units acquired through reinvestment of dividends on January 3, 2007, at a price of \$85.88 per phantom unit.
- The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (8) Includes 15.157 phantom stock units acquired through reinvestment of dividends on January 3, 2007, at a price of \$85.88 per phantom unit.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (10) Includes 78.041 phantom stock units acquired through reinvestment of dividends on January 3, 2007, at a price of \$85.88 per phantom unit.
- (11) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3