Edgar Filing: NEW YORK MORTGAGE TRUST INC - Form 3

NEW YORK MORTGAGE TRUST INC Form 3 May 16, 2016 FORM 3 UNITED STATES SECURI Wash

M 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB3235-0104Number:January 31,
2005Expires:2005Estimated average
burden hours per
response...0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Donlon Kevin M.			2. Date of Eve Statement (Month/Day/Y		3. Issuer Name and Ticker or Trading Symbol NEW YORK MORTGAGE TRUST INC [NYMT]				
(Last)	(First)	(Middle)	05/16/2016		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origina Filed(Month/Day/Year)	
C/O NEW YORK MORTGAGE TRUST, INC, 275 MADISON AVENUE					(Check all applicable) Director 10% Owner			Thed(nonurbay, Teal)	
	(Street)					v) (specify belo President		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting	
NEW YORK, NY 10016								Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		Table I - N	lon-Derivat	ive Securiti	es Bei	neficially Owned	
1.Title of Secur (Instr. 4)	ity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
Common Sto	ock, par val	ue \$0.01 p	er share	6,000		D	Â		
7.75% Series B Cumulative Redeemable Preferred Stock			1,000		D	Â			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date		Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	

Reporting Owners

Reporting O	Relationships					
For8 o	Director	10% Owner	Officer	Other		
Donlon Kevin M. C/O NEW YORK M 275 MADISON AVI NEW YORK, NYZ	Â	Â	President	Â		
Signatures						
/s/ Kevin M. Donlon	05/16/2016					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

Remarks:

Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.