### ASPEN TECHNOLOGY INC /DE/

Form 4

November 05, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer								
			ASPEN [AZPN		NOLO	GY INC	/DE/		(Chec	k all	applic	cable)	
(Last) ASPEN TE WHEELEF	ECHNOLOGY, II	(Middle) NC., 200		of Earliest Day/Year) 2013		ion			Director Officer (give	title	below)	Other	
	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check							
	TON MA 01002		Filed(Mo	onth/Day/Ye	ear)			_X_ Fo	able Line) orm filed by C rm filed by M			_	
BUKLING	TON, MA 01803							Person	•			•	J
(City)	(State)	(Zip)	Tab	le I - Non	-Derivat	tive Secur	rities Acqu	uired, l	Disposed of	f, or	Benefi	icially	Owned
1.Title of	2. Transaction Date			3.		urities Acc		5. A	Amount of	6.		1.	7. Natu

1.Title of	2. Transaction Date		3.	4. Securities Acquired (		6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if		omr Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership
					Following	or Indirect	(Instr. 4)
				(4)	Reported	(I)	
				(A)	Transaction(s)	(Instr. 4)	
			Code V	or Amount (D) Price	e (Instr. 3 and 4)		
Common Stock	11/01/2013		S	$ \begin{array}{cccc} 10,000 & & & \\ \underline{\text{(1)}} & & D & & & \\ \hline 37.58 & & & & \\ \end{array} $	09 12,064	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ASPEN TECHNOLOGY INC /DE/ - Form 4

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities	3		(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date	Expiration		or		
								Date	Title	Number		
										of		
					Code V	(A) (D)				Shares		

# **Reporting Owners**

#### Relationships

**Reporting Owner Name / Address** 

 $\begin{array}{ccc} \text{Director} & \frac{10\%}{\text{Owner}} & \text{Officer} & \text{Other} \end{array}$ 

CASEY DONALD P ASPEN TECHNOLOGY, INC. 200 WHEELER ROAD BURLINGTON, MA 01803

# **Signatures**

/s/ F.G. Hammond, Attorney-In-Fact

11/05/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$37.5582 to \$37.621, inclusive. The reporting person undertakes to provide to Aspen Technology, Inc., any security holder of Aspen Technology, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2