

ALIGN TECHNOLOGY INC  
Form 4  
February 05, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GEORGE ROGER E**

2. Issuer Name and Ticker or Trading Symbol  
**ALIGN TECHNOLOGY INC  
[ALGN]**

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/01/2007**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**VP, Leg. Affairs & Gen. Couns.**

**C/O ALIGN TECHNOLOGY  
INC, 881 MARTIN AVENUE**  
  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**SANTA CLARA, CA 95050**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	02/01/2007	02/01/2007	M	7,711 A	\$ 7.35 7,711 <sup>(1)</sup>	D	
Common Stock	02/01/2007	02/01/2007	M	3,657 A	\$ 6.15 11,368	D	
Common Stock	02/01/2007	02/01/2007	S	100 D	\$ 16 11,268	D	
Common Stock	02/01/2007	02/01/2007	S	400 D	\$ 16.01 10,868	D	
Common Stock	02/01/2007	02/01/2007	S	600 D	\$ 16.02 10,268	D	

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Common Stock	02/01/2007	02/01/2007	S	1,700	D	\$ 16.03	8,568	D
Common Stock	02/01/2007	02/01/2007	S	400	D	\$ 16.07	8,168	D
Common Stock	02/01/2007	02/01/2007	S	539	D	\$ 16.1	7,629	D
Common Stock	02/01/2007	02/01/2007	S	961	D	\$ 16.11	6,668	D
Common Stock	02/01/2007	02/01/2007	S	1,100	D	\$ 16.12	5,568	D
Common Stock	02/01/2007	02/01/2007	S	400	D	\$ 16.14	5,168	D
Common Stock	02/01/2007	02/01/2007	S	4,000	D	\$ 16.23	1,168	D
Common Stock	02/01/2007	02/01/2007	S	100	D	\$ 16.24	1,068	D
Common Stock	02/01/2007	02/01/2007	S	1,068	D	\$ 16.25	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Right to buy (Common Stock)	\$ 6.15	02/01/2007	02/01/2007	M	3,657	04/23/2004	04/23/2013	Common Stock	3,657
	\$ 7.35	02/01/2007	02/01/2007	M	7,189	02/22/2005	02/22/2015		7,189

Right to  
buy  
(Common  
Stock)

Common  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GEORGE ROGER E C/O ALIGN TECHNOLOGY INC 881 MARTIN AVENUE SANTA CLARA, CA 95050			VP, Leg. Affairs & Gen. Couns.	

## Signatures

Roger E. George	02/05/2007
<u>                    </u> **Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 522 shares acquired under the ALGN Employee Stock Purchase Plan in January 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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