KOOPMANS MENNO Form 4/A

March 22, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

**KOOPMANS MENNO** 

Symbol

(Check all applicable)

UNIVERSAL ELECTRONICS INC

[UEIC]

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner Other (specify X\_ Officer (give title

Managing Director, EMEA

(Month/Day/Year)

02/19/2019

02/21/2019

below) below)

15147 NORTH SCOTTSDALE ROAD, H300

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person

(Instr. 4)

Form filed by More than One Reporting

SCOTTSDALE, AZ 85254

(City)

1. Title of

Security

(Instr. 3)

(Zip) 2. Transaction Date 2A. Deemed

(State)

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or 5. Amount of Securities Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Code

Owned Following Reported

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Disposed of (D)

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

5. Number

6. Date Exercisable and

7. Title and Amount of 8. Pr Underlying Securities

1

#### Edgar Filing: KOOPMANS MENNO - Form 4/A

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	of	ay/Year)	(Instr. 3 and 4	4)	Secu (Instr
				Code V	(A) (I	(D) Date Exercisabl	Expiration le Date	Title	Amount or Number of Shares	
Restricted Stock Units (1)	\$ 0	02/19/2019		A	6,984 (2)	<u>(1)</u>	<u>(1)</u>	Common Stock	6,984	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
toporting of their rand, radiation	Director	10% Owner	Officer	Other			
KOOPMANS MENNO 15147 NORTH SCOTTSDALE ROAD H300 SCOTTSDALE, AZ 85254			Managing Director, EMEA				

### **Signatures**

/S/Menno Koopmans by Valerie J. Ballard pursuant to Limited Power of Attorney dated February 3, 2017 (attached)

03/22/2019

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted Stock Grant was issued out of a discretionary pool authorized and approved by the Compensation Committee of the Board of
- (1) Directors on January 25, 2019 and ratified and approved by the Board of Directors on February 13, 2019 and will vest over 3 years on a ratable annual basis.
- (2) Form 4 A filed to correct an error in reporting the number of RSUs awarded in this Grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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