**Roth Thomas** Form 4 December 04, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Roth Thomas** 

2. Issuer Name and Ticker or Trading

Symbol

DIAMOND OFFSHORE

5. Relationship of Reporting Person(s) to Issuer

DRILLING, INC. [DO]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

(Zip)

12/01/2018

Director 10% Owner Other (specify X\_ Officer (give title below)

Senior Vice President

15415 KATY FREEWAY, SUITE 100

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

HOUSTON, TX 77094

(City)

		Tubic	i iton b	ciivative	Jecui i	icies ricq	an ea, Disposea e	i, or beneficial	ij O wiicu
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
			~		or	<b>.</b>	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	· ·		
Common	12/01/2018		M	1 001	٨	(1)	1 001	D	
Stock	12/01/2016		M	1,884	A	<u>(1)</u>	1,884	ע	
Common	12/01/2019		E	450	Ъ	\$	1 425	D	
Stock	12/01/2018		F	459	ע	12.6	1,425	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Roth Thomas - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	12/01/2018	M	1,884	(2)	(2)	Common Stock	1,884	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Roth Thomas 15415 KATY FREEWAY SUITE 100 HOUSTON, TX 77094

Senior Vice President

# **Signatures**

/s/ Terence W. Waldorf Attorney-in-Fact for Thomas Roth

12/04/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
  - The restricted stock units that were awarded on December 1, 2016 vest in two equal installments on December 1, 2018 and December 1, 2019. One share of common stock will be delivered with respect to each vested restricted stock unit within 30 days after the applicable
- (2) 2019. One share of common stock will be delivered with respect to each vested restricted stock unit within 30 days after the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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