

FORD WILLIAM CLAY JR  
Form 4  
November 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FORD WILLIAM CLAY JR

(Last) (First) (Middle)

FORD MOTOR COMPANY, ONE  
AMERICAN ROAD

(Street)

DEARBORN, MI 48126

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

FORD MOTOR CO [F]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/16/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Exec. Chairman and Chairman

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |           |                       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------|-----------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount    | (A) or (D) Price      |                                                                                               |                                                          |                                                       |
| Common Stock, \$0.01 par value  | 11/16/2018                           |                                                    | C <sup>(1)</sup>               |                                                                   | 1,406,744 | D \$ 0 <sup>(1)</sup> | 1                                                                                             | D                                                        |                                                       |
| Class B Stock, \$0.01 par value | 11/16/2018                           |                                                    | C <sup>(1)</sup>               |                                                                   | 1,406,744 | A \$ 0 <sup>(1)</sup> | 10,719,374                                                                                    | I                                                        | By Voting Trust <sup>(2)</sup>                        |
| Common Stock, \$0.01 par value  |                                      |                                                    |                                |                                                                   |           |                       | 134,304                                                                                       | I                                                        | By Company Plan                                       |

# Edgar Filing: FORD WILLIAM CLAY JR - Form 4

|                                          |           |   |                                               |
|------------------------------------------|-----------|---|-----------------------------------------------|
| Class B<br>Stock,<br>\$0.01 par<br>value | 368,523   | I | By Annuity<br>Trust <sup>(3)</sup>            |
| Class B<br>Stock,<br>\$0.01 par<br>value | 92,472    | I | By Spouse <sup>(4)</sup>                      |
| Class B<br>Stock,<br>\$0.01 par<br>value | 247,855   | I | by Spouse as<br>Trustee <sup>(5)</sup>        |
| Class B<br>Stock,<br>\$0.01 par<br>value | 2,123,333 | I | By Voting<br>Trust-Children<br><sup>(6)</sup> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 6) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                                                                  |

## Reporting Owners

| Reporting Owner Name / Address             | Relationships |           |                       |       |
|--------------------------------------------|---------------|-----------|-----------------------|-------|
|                                            | Director      | 10% Owner | Officer               | Other |
| FORD WILLIAM CLAY JR<br>FORD MOTOR COMPANY | X             |           | Exec.<br>Chairman and |       |

ONE AMERICAN ROAD  
DEARBORN, MI 48126

Chairman

## Signatures

Jerome F. Zaremba,  
Attorney-in-Fact

11/20/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transactions resulted from an exchange of Common Stock for Class B Stock on a one-for-one basis among holders of Class B Stock.
- (2) I am one of four trustees of the voting trust. As shown, it holds 10,719,374 shares of Class B Stock for my benefit. I disclaim beneficial ownership of any other shares of Class B Stock in said voting trust.
- (3) These shares are held in grantor retained annuity trusts of which I am the trustee.
- (4) I disclaim beneficial ownership of these shares owned by my wife.
- (5) I disclaim beneficial ownership of these shares held by my wife as trustee of this trust for the benefit of my descendants.
- (6) I am one of four trustees of the voting trust. As shown, it holds 2,123,333 shares of Class B Stock for the benefit of my children. I disclaim beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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