

REARDON ANTHONY J

Form 4

April 24, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
REARDON ANTHONY J

2. Issuer Name **and** Ticker or Trading  
Symbol  
DUCOMMUN INC /DE/ [DCO]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
200 SANDPOINTE AVENUE, #700  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/20/2018

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

SANTA ANA, CA 92707

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|---|---|--|--|---|
| Common<br>Stock                       | 04/20/2018                              |   | M                                       | V Amount (A) or (D) Price<br>7,500 A \$ 15.92                           | 47,723   | D  |   |
| Common<br>Stock                       | 04/20/2018                              |   | F                                       | 5,613 D \$ 31.65  | 42,110   | D  |   |
| Common<br>Stock                       | 04/20/2018                              |   | M                                       | 5,500 A \$ 25.51  | 47,610   | D  |   |
| Common<br>Stock                       | 04/20/2018                              |   | F                                       | 4,961 D \$ 31.65  | 42,649   | D  |   |
| Common<br>Stock                       | 04/20/2018                              |   | M                                       | 5,500 A \$ 24.9   | 48,149   | D  |   |
|                                       | 04/20/2018                              |   | F                                       | 4,907 D   | 43,242   | D  |   |

# Edgar Filing: REARDON ANTHONY J - Form 4

Common Stock \$ 31.65

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|
|  |  |                                      |  | Code                           | V (A) (D)  | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Option - Right to Buy <sup>(1)</sup>       | \$ 15.92   | 04/20/2018                           |  | M                              | 7,500  | 03/23/2018 <sup>(2)</sup> 03/23/2023                     | Common Stock 7,500  |
| Option - Right to Buy <sup>(1)</sup>       | \$ 25.51   | 04/20/2018                           |  | M                              | 5,500  | 03/30/2018 <sup>(3)</sup> 03/30/2022                     | Common Stock 5,500  |
| Option - Right to Buy <sup>(1)</sup>       | \$ 24.9  | 04/20/2018                           |  | M                              | 5,500  | 03/18/2018 <sup>(4)</sup> 03/18/2021                     | Common Stock 5,500  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships                    |
|---|----------------------------------|
|   | Director 10% Owner Officer Other |
| REARDON ANTHONY J<br>200 SANDPOINTE AVENUE<br>#700<br>SANTA ANA, CA 92707 | X                                |

## Signatures

Anthony J. Reardon 04/24/2018

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option represents the right to purchase common stock granted under the Ducommun Incorporated employee stock option plans, which are Rule 16b-3 plans.
- (2) The option vested or will vest as to 7,500 shares on each of March 23, 2017, 2018, 2019 and 2020.
- (3) The option vested or will vest as to 5,500 shares on each of March 30, 2016, 2017, 2018 and 2019.
- (4) The option vested as to 5,500 shares on each of March 18, 2015, 2016, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.