## Edgar Filing: AUDAIN-PRESSLEY KEISHA L - Form 3

#### AUDAIN-PRESSLEY KEISHA L

Form 3

January 31, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

AUDAIN-PRESSLEY

KEISHA L

(First)

Statement

(Month/Day/Year) 01/24/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PIMCO CALIFORNIA MUNICIPAL INCOME FUND II

[PCK]

(Last) (Middle)

C/O PIMCO, 650 NEWPORT

CENTER DRIVE

(Street)

Director \_X\_\_ Officer

4. Relationship of Reporting

(Check all applicable)

(give title below) (specify below)

3.

Chief Compliance Officer

Person(s) to Issuer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

5. If Amendment, Date Original

Reporting Person

**NEWPORT** BEACH, CAÂ 92660

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

Date **Expiration Title** 

Price of

Derivative Security: Direct (D)

(Instr. 5)

Derivative Amount or Security Exercisable Number of Date

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Shares

or Indirect (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
AUDAIN-PRESSLEY KEISHA L C/O PIMCO 650 NEWPORT CENTER DRIVE NEWPORT BEACH Â CAÂ 92660	Â	Â	Chief Compliance Officer	Â

## **Signatures**

/s/ Joel Reynolds, Attorney-in-Fact for Keisha L. Audain-Pressley

01/31/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

#### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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## **Remarks:**

Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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